# June 16, 2020 AGENDA

Time:

1:30 pm

Location: Kentucky Horse Racing Commission

4063 Iron Works Pkwy, Building B

Lexington, KY 40511

# I. Call to Order and Roll Call

## II. New Business

- 1. Keeneland KTDF Allotment Request for July Meet
- 2. Ellis Park KTDF Allotment Request for Summer Meet
- 3. Kentucky Downs' KTDF Allotment Request for September Meet
- 4. Churchill Downs Request to Amend Section R of Racing Application
- 5. Keeneland's Request to Amend Section R of Racing Application
- 6. Proposed Amendment to 810 KAR 3:020, 810 KAR 4:040 and 810 KAR 8:030
- 7. WKY Development's Request for Historical Horse Racing at Oak Grove Racing and Gaming
- **8.** Derby City Gaming's Request to Approve Additional International Game Technology Historical Horse Racing Pools and Entertaining Game Themes
- **9.** Derby City Gaming's Request to Approve Additional Scientific Game Corporation Historical Horse Racing Pools and Entertaining Game Themes
- **10.** Derby City Gaming's request to Approve Additional Ainsworth Game Technology Historical Horse Racing Pools and Entertaining Game Themes
- 11. KRM Wagering LLC Requested Approval for PariMAX Version 3.21, 3.22 and 3.23
- 12. Kentucky Downs' Proposed 2020 Race Meet Officials and Date Preference System
- **13.** Churchill Downs' Proposed 2020 September Derby and Regular September Race Meet Officials and Date Preference System
- 14. Keeneland's Proposed 2020 July Race Meet Officials and Date Preference System
- 15. The Red Mile's Proposed 2020 Race Meet Officials

# **16.** Reports

- a. Thoroughbred Rulings
- **b.** Standardbred Rulings
- **c.** Veterinary Division
- d. Pari-Mutuel Wagering Division

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 4051 1 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

HONE: (859) 246-2040 FAX: (859) 246-20

WEBSITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS

FROM: Jamie H. Eads, Deputy Executive Director, Kentucky Horse Racing Commission

DATE: June 4, 2020

SUBJ.: KTDF PURSE STRUCTURE – KEENELAND JULY MEET

On June 3, 2020, the KTDF Advisory Committee considered the following request from Keeneland with its KTDF purse structure for the 2020 July meet.

Keeneland is proposing KTDF purses of approximately \$426,000 over five days of racing (July 8-12). KHRC staff confirms the current KTDF balance for Keeneland is \$788,365. Keeneland is assuming a payout percentage of approximately 81% and request a transfer of \$125,000 in KTDF funds to Ellis Park.

Keeneland will pay out KTDF funds through last place. In the event of a dead heat, the KTDF percentage payout for each position will be paid equally to each horse in the dead heat and if a non-KTDF horse is involved in the dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION	
	Approved
	Deferred
	Denied

COMMISSION ACTION





Keeneland Association, Inc.

4201 Versailles Road Lexington, KY 40510 P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4347 Fax www.keeneland.com June 1, 2020

KTDF Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Lexington, KY 40511

Dear Committee Members:

Keeneland has reviewed our KTDF data and would like to offer approximately \$426,000 in KTDF money for our upcoming July Summer Meet.

- 1. Assuming no ontrack handle as part of spectatorless racing
- 2. We have a current KTDF fund balance of \$788,365;
- 3. We are assuming a payout percentage of approximately 81% of the amount carded;
- 4. We are projecting no earnings from KRM;
- 5. We anticipate that KTDF purses will be approximately 25% of the purses for the races that are eligible for KTDF.
- 6. We request transfer of \$125,000 in KTDF funds to Ellis Park KTDF account.

We remain committed to offering competitive purses while also prudently managing our KTDF funds available. To that end, with the uncertainty around COVID-19 impacting large gatherings, we are anticipating carrying a balance of \$237,365 to the Fall Meet of 2020. We believe this is prudent given the inability to generate additional KTDF funds if live handle on track continues to be interrupted, as well as limits on Historical Horse Racing operations at KRM.

Keeneland will continue to pay out KTDF funds through last place. A table containing the payout percentage by field size is attached. In the event there is a dead heat for finish positions 1 thru 5, the KTDF percentage payout for each position will be aggregated and paid equally to each horse included in the dead heat. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

Please contact me if you have any questions or need additional information.

Sincerely,

Robert N. Elliston

P.M. Ellet

Vice President of Racing and Sales

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**RECEIVED** 

AUG 0 1 2019

KENTUCKY HORSE RACING COMMISSION ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 Fax: (859) 246-2039

WEBSITE: HTTP://KHRC.KY.GOV

TO: **ALL COMMISSION MEMBERS** 

FROM: Jamie H. Eads, Deputy Executive Director, Kentucky Horse Racing Commission

DATE: June 4, 2020

KTDF PURSE STRUCTURE - ELLIS PARK SUMMER MEET **SUBJ.:** 

On June 3, 2020, the KTDF Advisory Committee considered the following request from Ellis Park with its KTDF purse structure for the 2020 Summer meet.

Ellis Park is proposing KTDF purses of approximately \$1,150,000 for their Summer meet, this includes the \$125,000 transfer from Keeneland. KHRC staff confirms Ellis Park ended 2019 with a surplus of \$520,000 in KTDF funds. Ellis Park is assuming a payout percentage of 95%.

Ellis Park will pay out KTDF funds through last place. In the event of a dead heat, if a non-KTDF horse is involved in the dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION	
	Approved
	Deferred
	Denied





June 2, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission 4063 Iron Works Parkway Building B Lexington, KY 40511

## Committee Members:

As a result of the business interruption caused by the Covid-19 pandemic, Ellis Park has reviewed and revised our KTDF projections. We anticipate our revised KTDF purses to be in the range of for live race handle along with the Historical Racing handle and we propose to pay KTDF purses in the range of \$1.15M for our upcoming 2020 summer meet, which includes \$125k KTDF transferred from wagers on HHR at Keenland. This is a decrease of \$800k from our initial estimates pre-Covid. We have based the range on the following information:

- 1. We ended the 2019 race meet with an underpayment of \$520,000 of KTDF funds. This resulted from two heat day cancellations, and one rain out.
- 2. In our 2019 summer meet we offered approximately \$3,359,000 in KTDF purses (inclusive of \$2,264,000 KTDF funds transferred from KY Downs) and paid out \$2,896,500 in KTDF purses.
- 3. We are assuming a KTDF payout percentage of approximately 95%.
- 4. We are projecting daily earnings of \$37,039 for 2020, which is a decrease from the \$107,278 paid in 2019. The decrease is the result of \$2.1M less KTDF transferred from KY Downs/Keenland, along with assuming no KTDF earnings for the summer 2020 race meet. We have excluded 2020 earnings due to the uncertainty from the State of Kentucky on when the track can reopen for business. Should a definitive timeline of opening become available, we will subsequently revise our projections and request additional funds from the KTDF committee.
- 5. Ellis Park will pay out KTDF funds through last place as follows:
  - a.  $1^{st}$  Place = 60%
  - b.  $2^{nd}$  Place = 20%
  - c.  $3^{rd}$  Place = 10%
  - d.  $4^{th}$  Place = 5%
  - e.  $5^{th}$  Place = 3%
  - f. Remaining 2% divided evenly among starters
  - g. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund



6. Ellis Park will monitor the earnings and payouts on a daily basis and adjust these figures accordingly in our second condition book.

Please contact me should you have any questions or need additional information.

Sincerely,

Jason Greene

Ellis Entertainment

M. Jon Heur

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 4051 1 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

WEBSITE: HTTP://KHRC.KY.GOV

TO: ALL COMMISSION MEMBERS

FROM: Jamie H. Eads, Deputy Executive Director, Kentucky Horse Racing Commission

DATE: June 4, 2020

SUBJ.: KTDF PURSE STRUCTURE – KENTUCKY DOWNS SEPTEMBER MEET

On June 3, 2020, the KTDF Advisory Committee considered the following request from Kentucky Downs with its KTDF purse structure for the 2020 September meet.

Kentucky Downs is proposing KTDF purses of 4,700,000 and up to \$75,000 in advertising to promote the states support of Kentucky thoroughbreds and racing. Kentucky Downs will pay KTDF funds to every eligible starter. In the event of a dead heat, if a non-KTDF horse is involved in the dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval of up to \$4.7 million in KTDF purses and up to \$75,000 in advertising pursuant to 810 KAR 7:030.

COMMISSION ACTION	
	Approved
	Deferred
	Denied

COMMISSION ACTION





5629 Nashville Road Franklin, Kentucky 42134

270-586-7778

KentuckyDowns.com

June 4, 2020

Dear KTDF Committee Members,

Kentucky Downs is planning to reopen for business hopefully sooner than later. That being said, as we prepare for our 6-day racing season we currently have a total of \$4.7 million in KTDF funds available to be used for our meet. That includes \$161,021 that was remaining from our 2019 meet. We are requesting approval from the committee to offer \$4.7 million in KTDF purses and up to \$75,000 in advertising to promote the Commonwealth's support of Kentucky thoroughbreds and racing.

At this time, without any revenues being derived from HHR, we cannot commit any KTDF purse money to be moved to any other racetracks. We hope in the near future we are allowed to reopen our HHR facility, which would increase our purse account and allow for some dispersal.

We plan to pay KTDF money to every eligible starter as in the past. Additionally, if a non-KTDF eligible horse is involved in a dead-heat, those funds will revert to the fund.

We appreciate your review of this proposal and urge your approval of this plan.

Sincerely

Ted Nicholson

Senior Vice President and General Manager

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KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 4051 1 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

To: Commissioners, Kentucky Horse Racing Commission

From: Jennifer Wolsing, General Counsel

**Date:** June 13, 2020

Re: Churchill and Ellis Park's requests to amend Section R of their 2020 applications to shift race dates

In its application for a 2020 license, Churchill sought and received an award of race dates as follows:

- Spring Meet dates from April 25 through June 27 2020;
- Fall Meet dates from September 11 through September 27, 2020; and
- October 25 through November 29, 2020.

On March 19, 2020, this Commission allowed Churchill to shift five optional days from the Spring 2020 meet to five dates in September: specifically, September 1 through 5. There were conflicts with both Ellis Park and Kentucky Downs, so an agreement was made that would benefit all parties involved.

There were two trades made that were not properly documented at the March 19 special session meeting:

- First, Ellis Park is currently the host track on September 7, 2020. Kentucky Downs and Ellis Park have agreed to share hosting duties on that date.
- Second, Churchill Downs is currently the host track on September 16. Churchill Downs and Kentucky Downs have agreed that Kentucky Downs will be the host track on September 16.

On or about April 21, 2020, the Race Dates Committee met and recommended approval of these trades.

Subsequently, Churchill and Ellis Park made a further agreement, as follows:

Churchill Downs and Ellis Park agreed that Churchill would race and be the host track on June 28, 2020, which was the
date initially granted to Ellis Park as its opening day. Churchill will move one of the remaining optional race dates granted
in its license application to June 28. This trade is anticipated to provide an additional date for Kentucky horsemen to
compete

On or about June 16, 2020, the Race Dates Committee met and recommended approval of this trade.



The Kentucky Horsemen's Benevolent and Protective Association and the Kentucky Thoroughbred Association approve of all three proposed trades.

The Commission has legal authority to approve these changes. Per my review of Kentucky statutes and regulations, nothing prohibits the Commission from approving, an agreed change in live race meeting dates and host track status. KRS 230.300 states that the Commission shall meet and award racing dates to all tracks for the entire succeeding calendar year on or before November 1. Nothing states that the Commission may not later amend that award, particularly when, as here: all parties agree to the amendment and the Commonwealth is in a state of emergency.

COMMISSION ACTION:	
	Approved
	Denied
	Deferred

The KHRC staff recommends approval.





March 17, 2020

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
Via E-Mail: marc.guilfoil@ky.gov

Re: Request to amend section R. RACING AND WAGERING OPERATIONS of Churchill Downs Racetrack's 2020 KENTUCKY HORSE RACING COMMISSION INITIAL/RENEWAL APPLICATION TO CONDUCT LIVE HORSE RACING, SIMULCASTING AND PARI-MUTUEL WAGERING

# Dear Mr. Guilfoil:

On September 5, 2019, Churchill Downs Racetrack, LLC ("CDRT") submitted its 2020 KENTUCKY HORSE RACING COMMISSION INITIAL/RENEWAL APPLICATION TO CONDUCT LIVE HORSE RACING, SIMULCASTING AND PARI-MUTUEL WAGERING (Application) to operate at Churchill Downs Racetrack.

In its application, Section R.1, CDRT requested eight (8) optional days during the Spring meet. We respectfully request to move the first five (5) optional days from the 2020 Spring meet (May 6, 13, 20 and 27, and June 3) to the month of September as follows:

Tuesday, September 1 through Saturday, September 5;

Churchill Downs also requests to be the host track from September 1 through September 6, 2020.

Please feel free to contact me with any questions.

Very truly yours,

T. Kevin Flanery

President

# Kentucky Horsemen's Benevolent and Protective Association, Inc.

**Rick Hiles** 

President

Frank L. Jones Jr.

Vice President

**Dale Romans** 

2nd Vice President

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Martin A. Maline
Executive Director

Sara Toomey

Executive Assistant

John Griffith Treasurer

Robert P. Benson, Jr.

General Council

TRAINER DIRECTORS:

William Bradley William Connelly John A. Hancock R C Sturgeon.

March 17, 2020

Marc,

Please be advised that the KHBPA approves Churchill's request to change the running of the Kentucky Oaks and Derby from May 1st and May 2nd, to September 4th and 5th, 2020, respectively due to the corona virus.

The KHBPA does intend to request that Ellis Park considers running two additional days in either July or August to make up the two days that they will be losing.

If I can provide further information, please do not hesitate to contact me.

Respectfully,

Marty Maline, Ex. Dir. KHBPA

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R. Eric Waldman

John T. Ward, Jr.

4079 Iron Works Parkway Lexington, KY 40511-8512 USA (800) 552-3781

Phone +1 (859) 259-1643

Fax +1 (859) 233-9737





March 17, 2020

Frank Kling, Chairman Kentucky Horse Racing Commission 4063 Iron Works Parkway Lexington, KY 40511 USA

Dear Chairman Kling:

We are writing in support of Churchill Downs Racetrack ("CDRT") assuming five live race days the week of August 31, 2020, starting Tuesday, September 1, to facilitate running of the 146th Kentucky Derby.

Pursuant to KRS 230.377, the Kentucky Thoroughbred Owners & Breeders, Inc. approves the race dates in September 2020 for CDRT:

Tuesday, September 1 Wednesday, September 2 Thursday, September 3 Friday, September 4 Saturday, September 5

Our approval is contingent upon the KHRC approving the request. This is an extraordinary request owing to the current public health emergency in the United States and Commonwealth of Kentucky. Please allow this letter to permit simulcasting during this same period.

Sincerely

Chauncey Morris Executive Director

Mr. Kevin Flanery, CDRT Cc:

ANDY BESHEAR **GOVERNOR** 

KERRY B. HARVEY **SECRETARY** 

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511 TELEPHONE: (859) 246-2040 Fax: (859) 246-2039

WEBSITE: HTTP://KHRC.KY.GOV

To: Commissioners, Kentucky Horse Racing Commission

Jennifer Wolsing, General Counsel From:

Date: June 1, 2020

Keeneland's and Ellis Park's request to amend Section R of their 2020 applications to shift race dates Re:

In its application for a 2020 license, Keeneland sought and received an award of race dates as follows:

- Spring Meet dates from April 2 through April 24, 2020, with no racing on Mondays or Tuesdays;
- Fall Meet dates from October 2 through October 24, 2020, with no racing on Mondays or Tuesdays; and
- The Breeders' Cup World Championship on November 6 and 7, 2020.

As this Commission is well aware, Keeneland was forced to cancel its 2020 Spring Meet due to COVID-19. Keeneland sought to mitigate the loss of its race dates.

On or about May 22, 2020, Keeneland advised the Commission that it has reached the following agreement with Ellis Park regarding race dates, for which they seek Commission approval:

- Ellis Park is currently assigned July 8 through July 12, 2020 race dates to conduct live racing.
- Per the agreement, Keeneland would conduct a live race meeting on July 8 through July 12, 2020. •
- Ellis Park would remain the host track from July 8 through July 12, 2020.
- Keeneland retains all wagering revenue on Keeneland's signal.

On Wednesday, May 27, the Race Dates Committee met and recommended approval of this agreement. The Kentucky Horsemen's Benevolent and Protective Association ("KHBPA") and the Kentucky Thoroughbred Association ("KTA") also approve this agreement.

The Commission has legal authority to approve these changes. Per my review of Kentucky statutes and regulations, nothing prohibits the Commission from approving, an agreed change in live race meeting dates and host track status. KRS 230.377 states that the Commission shall meet and award intertrack wagering dates to all tracks for the entire succeeding calendar year. Nothing states that the Commission may not amend that award, particularly when, as here: all parties agree to the amendment, and the Commonwealth is in a state of emergency.



COMMITTEE ACTION:	
	Approved
	Denied
	Deferred





Keeneland Association, Inc.

4201 Versailles Road Lexington, KY 40510 P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4347 Fax www.keeneland.com

May 22, 2020

Mr. Marc Guilfoil

**Executive Director** 

**Kentucky Horse Racing Commission** 

Dear Marc,

Please accept this letter as a formal request to allow Keeneland to conduct a live race meeting July 8<sup>th</sup> through 12<sup>th</sup> of 2020.

Ellis Park and Keeneland have come to an agreement that would allow Keeneland to seek the dates previously granted to Ellis Park within that time frame and would respectfully request that the Race Dates Committee meet as soon as practicable for consideration.

Ellis Park would remain the host track during those days. We also plan to have consent from both horsemen groups within the state prior to any Race Dates Committee meeting.

Thank you in advance,

**Vince Gabbert** 

VP/COO

**Keeneland Association** 



To: Jon Rabinowitz, Chairman Kentucky Horse Race Commission

From: Jeffery Inman, General Manager, Ellis Entertainment

Cc: Tim Perkins: Managing Member Ellis Entertainment. Marc Guilfoil, Executive Director

KHRC

Date: May 26, 2020

Subject: Notification regarding Keeneland Agreement

Chairman Rabinowitz / Executive Director Guilfoil:

This is to notify you that the Keeneland and Ellis Park Boards have approved an agreement regarding the the transfer of race dates from Ellis Park to Keeneland. Specifically the dates of:

June 8-June 12, 2020.

Ellis Park will retain host track status for these dates. Please do not hesitate to contact me with any questions or concerns.

Respectfully,

Jeffery Inman

# Kentucky Horsemen's Benevolent and Protective Association, Inc.

**Rick Hiles** 

President

Frank L. Jones Jr.

Vice President

**Dale Romans** 

2nd Vice President

OWNER DIRECTORS:

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Martin A. Maline

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Sara Toomey

Executive Assistant

John Griffith Treasurer

Robert P. Benson, Jr.

General Council

TRAINER

**DIRECTORS:** William Bradley William Connelly John A. Hancock

R C Sturgeon.

May, 26, 2020

Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Pkwy, Building B
Lexington, KY 40511

Marc,

The KHBPA agrees with the KHRC's interpretation of the agreement between all parties concerning Keeneland acquiring 5 racing days from Ellis Park in July.

Marty Maline

**KHBPA Executive Director** 

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**Assistant Treasurer** 

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**Hutton Goodman** 

Ben Haggin

Walker Hancock

Walter W. Hillenmeyer, III

Anne Archer Hinkle

Jason Litt

Charles LoPresti

Braxton Lynch

Paul McGee

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Dermot Ryan

Joseph Seitz

Callan Strouss

Wayne Sweezey

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Pope McLean, Jr.

Garrett O'Rourke

Frank Penn R. Alex Rankin

J. David Richardson, M.D.

Don M. Robinson

Frederick J. Seitz

R. Eric Waldman

John T. Ward, Jr.

4079 Iron Works Parkway Lexington, KY 40511-8512 USA (800) 552-3781 Phone +1 (859) 259-1643 Fax +1 (859) 233-9737





May 22, 2020

Jon Rabinowitz, Chairman Kentucky Horse Racing Commission 4063 Iron Works Parkway Lexington, KY 40511 USA

Dear Chairman Rabinowitz:

We are writing in support of Keeneland Association assuming five live race days in July, 2020.

Pursuant to KRS 230.377, the Kentucky Thoroughbred Owners & Breeders, Inc. approves the race dates in July 2020 for Keeneland:

Wednesday, July 8 Thursday, July 9 Friday, July 10 Saturday, July 11 Sunday, July 12

Our approval is contingent upon the KHRC approving the request. This is an extraordinary request owing to the current public health emergency in the United States and Commonwealth of Kentucky. Please allow this letter to permit simulcasting during this same period.

Chauncey Morris

Executive Director

Sincerely

Cc:

.

Mr. Bob Elliston, Keeneland Association, Inc.



Andy Beshear Governor

Jonathan Rabinowitz Chairman Kerry B. Harvey Secretary

Marc A. Guilfoil Executive Director

### **Public Protection Cabinet**

# KENTUCKY HORSE RACING COMMISSION Established 1906 4063 Iron Works Pkwy., Bldg. B Lexington, Kentucky 40511 Telephone: (859) 246-2040 Fax: (859) 246-2039

Website: http://khrc.ky.gov

To: Commissioners, Kentucky Horse Racing Commission

From: Jennifer Wolsing, General Counsel

**Date:** June 15, 2020

Re: Proposed Amendments to 810 KAR 3:020

On June 15, 2020, the Rules Committee met and approved the following changes to the regulations governing the licensing of racing participants

810 KAR 3:020 Section 12: Financial Responsibility

- (1) A licensee shall maintain financial responsibility during the period for which the license is issued.
- (2) [A] Failure to meet the financial responsibility requirements of KRS 230.310 is defined as a licensee's failure to satisfy a final judgment and unappealable rendered against him or her by [a Kentucky] any administrative, state, or federal court [, or a domesticated judgment from another jurisdiction,] for goods, supplies, services, or fees that are in any way related to the business of horse racing [used in the course of any occupation for which a license is required by this administrative regulation shall constitute a failure to meet the financial responsibility requirements of KRS 230.310].
- (3) A licensee's failure to meet the financial responsibility requirements may be brought to the Commission's attention by anyone, including a successful plaintiff or a third party with knowledge of the final judgment.

  (4) If the licensee fails to show just cause for his or her failure to satisfy the judgment, then his or her license may be suspended or revoked until the licensee provides written documentation of satisfaction of the judgment.

  [(4)] (5) An applicant for a license may be required to submit evidence of financial responsibility to the commission if a judgment as set forth in this Section has been rendered against him or her.



The three major changes to this regulation are as follows:

- 1. A licensee fails to meet financial responsibility requirements if he or she fails to satisfy any judgment against him or her that is related to the business of horse racing. Such a judgment can be related to any part of horse racing, even if it is not a licensed occupation.
- 2. A licensee does not have to domesticate out-of-state judgments to bring such a judgment to the Commission's attention. All that matters is that the judgement is final and unappealable.
- 3. Anyone can bring a complaint of financial irresponsibility to the Commission's attention, not just the successful plaintiff.

The KHRC staff recommends approval.	
COMMISSION ACTION:	
Appro	ved
Denie	l
Deferi	ed





Andy Beshear Governor

Jonathan Rabinowitz Chairman Kerry B. Harvey
Secretary

Marc A. Guilfoil Executive Director

### **Public Protection Cabinet**

# KENTUCKY HORSE RACING COMMISSION Established 1906 4063 Iron Works Pkwy., Bldg. B Lexington, Kentucky 40511 Telephone: (859) 246-2040 Fax: (859) 246-2039

Website: http://khrc.ky.gov

To: Commissioners, Kentucky Horse Racing Commission

From: Jennifer Wolsing, General Counsel

**Date:** June 15, 2020

Re: Proposed Amendments to 810 KAR 4:040 and 810 KAR 8:030

On June 15, 2020, the Rules Committee and the Safety and Welfare Committee met and approved the following changes to the regulations governing the use of riding crops:

810 KAR 4:040: Running of the Race

Section 15. Use of Riding Crops.

- (1) Although the use of a riding crop is not required, a jockey who uses a riding crop during a race shall do so only in a manner consistent with exerting his or her best efforts to maximize placing.
- (2) In any race in which a jockey will ride without a riding crop, an announcement of that fact shall be made over the public address system.
- (3) An electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than a riding crop approved by the stewards pursuant to 810 KAR 4:010, Section 11 shall not be possessed by anyone, or applied by anyone to a horse at any time at a location under the jurisdiction of the racing commission.
- (4) A riding crop shall not be used on a two (2) year-old horse in races before April 1 of each year.
- (5) Allowable uses of a riding crop include the following:



- (a) The riding crop may be used at any time, without penalty, if, in the opinion of the stewards, the riding crop is used to avoid a dangerous situation or preserve the safety of other riders or horses in a race.
- (b) If needed during the first eighth of a mile, a riding crop may be used in a backhanded or underhanded fashion;
- (c) Tapping the horse on the shoulder with the crop in the down position while both hands are holding onto the reins and both hands are touching the neck of the horse;
- (d) Showing or waving the riding crop without contact with the horse and giving the horse time to respond before striking the horse;
- (6) Use of the riding crop to make contact with a horse to maintain focus and concentration, to control the horse for safety of the horse and rider, or to encourage a horse is allowed, with the following exceptions:
  - (a) Use of the crop in any manner beginning with any upward or forward motion of the hand, otherwise described as cocking the arm or wrist, resulting in more than six (6) strikes to the horse;
- (b) Use of the crop and making contact with the horse more than two (2) successive strikes without allowing the horse a chance to respond, meaning;
  - (i) Pausing use of the riding crop between strikes; or,
- (ii) Pushing on the horse with a rein in each hand and keeping the riding crop in a consistent up or down position;
  - (iii) Showing the horse the riding crop without making contact; or
  - (iv) Switching the riding crop from one hand to the other.
  - (c) Use of the crop with the rider's wrist above helmet height;
- (d) Use of the crop on the head, flanks, or on any other part of its body other than the shoulders or hind quarters;
- (e) Use of the crop during the post parade or after the finish of the race except if necessary to control the horse;
  - (f) Excessive or brutal use of the crop causing injury to the horse;
  - (g) Use of the crop causing welts or breaks in the skin;
  - (h) Use of the crop if the horse is clearly out of the race or has obtained its maximum placing; and
  - (i) Use of the crop even though the horse is showing no response.
- (8) A riding crop shall not be used to strike another person.



- (9) After the race, a horse will be subject to inspection by a racing official or official veterinarian looking for cuts, welts, or bruises in the skin. Any adverse findings shall be reported to the stewards.
- (10) Use of the crop during workouts shall be permitted so long as such use does not violate sections 6(c) through (i).
- (11) The giving of instructions by any licensee that, if obeyed, would lead to a violation of this section may result in disciplinary action also being taken against the licensee who gave the instructions.
- (12) Only padded/shock absorbing riding crops which have not been modified in any way may be carried in a race.
- (13) Riders using a riding crop in a manner contrary to this rule will be subject to disciplinary action according to the appropriate penalty schedules below:
  - (a) The seventh (7th) strike of a horse by a riding crop in a race shall result in a warning or fine.
  - (b) The eighth (8th) strike of a horse by riding crop in a race shall result in a warning or an increased fine.
- (c) Striking a horse between nine (9) and twelve (12) times in a race shall result in either a suspension or a fine or both a suspension and a fine.
- (d) Thirteen (13) or more strikes of a horse by a riding crop in a race shall result in progressive discipline up to revocation of license.

A rider cannot receive multiple disciplinary actions on the same race card without verbal confirmation of any prior rule violations by the stewards. Failure of prior notice will result in a single violation recorded for the race card.



810 KAR 8:030 will need to be amended to include the following Penalty Schedules:

# **Overnight Races and Non-Graded Stakes Races**

		First Violation			Second Violation	
Penalty	7th Strike Verbal Warning	8th Strike Verbal Warning	9th Strike or more 50% of earnings	7th Strike 30% of earnings	8th Strike 60% of earnings (minimum \$400)	9th Strike or more 100% of earnings and one day suspension

		Third Violation			Fourth Violation	
			9th Strike or			9th Strike or
Penalty	7th Strike 40% of earnings (minimum \$300)	8th Strike 70% of earnings (minimum \$500)	more 100% of earnings and three day suspension	7th Strike 50% of earnings (minimum \$500)	8th Strike 80% of earnings (minimum \$750)	more 100% of earnings and five day suspension

		Fifth Violation	
	7th Strike	8th Strike	9th Strike or
Penalty	60% of earnings	100% of	more
	(minimum	earnings	100% of
	\$1,000)	(minimum	earnings and 10
		\$1,500)	day suspension

Six or more violations will result in a forty-five (45) day suspension for each infraction in addition to the penalties set forth above.

# **Graded Stakes Races**

		First Violation			<b>Second Violation</b>	
Penalty	7th Strike 30% of earnings	8th Strike 60% of earnings (minimum \$400)	9th Strike or more 100% of earnings and one day suspension	7th Strike 40% of earnings (minimum \$300)	8th Strike 70% of earnings (minimum \$500)	9th Strike or more 100% of earnings and three day suspension

		<b>Third Violation</b>			<b>Fourth Violation</b>	
Penalty	7th Strike 50% of earnings (minimum \$500)	8th Strike 80% of earnings (minimum \$750)	9th Strike or more 100% of earnings and five day suspension	7th Strike 60% of earnings (minimum \$1,000)	8th Strike 100% of earnings (minimum \$1,500)	9th Strike or more 100% of earnings and 10 day suspension



Five or more violations will result in a forty-five (45) day suspension for each infraction in addition to the penalties set forth above.

For all races, whether overnight, non-graded stakes, or graded-stakes, in addition to the penalties set forth above,

- 1. Any rider striking a horse more than twelve (12) times in a race while continuing to persevere (non-safety situation) for a better placement will receive an automatic forty-five (45) calendar day suspension;
- 2. A second occurrence of more than twelve (12) strikes in a race while continuing to persevere (non-safety situation) for a better placement will receive an automatic sixty (60) calendar day suspension;
- A third occurrence of more than twelve (12) strikes in a race while continuing to persevere (non-safety situation) for a better placement will receive an automatic one hundred and twenty (120) calendar day suspension; and
- 4. A fourth occurrence of more than eleven (11) strikes in a race while continuing to persevere (non-safety situation) for a better placement will receive an automatic one (1) year suspension.

Riders who incur a penalty infraction may reset the penalty schedule back to zero with six (6) consecutive months of infraction-free riding in Kentucky.

Notwithstanding any other provision of KAR Title 810 to the contrary, it shall be a violation of this section if the stewards determine that a particular use of the riding crop is unreasonable or unnecessary. For any violation of this section, and in addition to those penalties permitted under this section, or under KRS Chapter 230 or 810 KAR, the stewards may impose a fine or, for repeated offenses under this section, revoke or suspend the offending licensee's license.

810 KAR 8:030 Section 9(4) will need to be amended to remove the \$50,000.00 limitation on fines to allow for the percentage of earnings that are contemplated under the Penalty Schedule.

COM	MISSION	ACTION	l:	
				Approved
				Denied
				Deferred

The KHRC staff recommends approval.



ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ
CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

WEBSITE: http://khrc.ky.gov

To:	Kentucky Horse Racing Commission
From:	Jennifer Wolsing, General Counsel

Date: June 3, 2020

Re: WKY Development, LLC's request for historical horse racing at Oak Grove Racing and Gaming

On May 29, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request for authorization to offer exotic wagering on historical horse races. The request outlines the plans for WKY Development, LLC ("WKY") to offer these wagering pools at their Oak Grove Racing, Gaming & Hotel location at 777 Winners Way, Oak Grove, Kentucky.

The full request is included in the following pages of these meeting materials. The letter from WKY and an executive summary can be found on the following three (3) pages. The remaining eleven (11) pages comprise the rest of the request.

If approved, KHRC staff will work closely with WKY as their plans are finalized to ensure the integrity of their wagering pools, along with the safety and security of the wagering public.

KHRC staff recommends approval of the request from WKY.

Commission Action	
	Approved
	Denied
	Deferred





Darold Londo WKY Development, LLC d/b/a Oak Grove Racing, Gaming & Hotel 777 Winners Way Oak Grove, KY 42262 Darold.londo@oakgrovegaming.com Main: 715-525-2243

May 29, 2020

Mr. Marc A. Guilfoil Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Bldg. B Lexington, KY 40511

RE: Request to the Kentucky Horse Racing Commission (the "Commission") to Offer Exotic Wagers on Historical Horse Races at Oak Grove, Racing, Gaming & Hotel.

# Dear Mr. Guilfoil:

As you and the Commission are aware, pari-mutuel wagering on historical horse races ("HHR") is classified as an exotic wager. Per 811 KAR 1:250, Section 2 and 811 KAR 1:250, Section 4, WKY Development LLC (d/b/a "Oak Grove Racing, Gaming & Hotel"), also referred to herein as "Applicant", respectfully requests the Commission's approval of exotic wagers on historical horse races to be offered at our Oak Grove Racing, Gaming & Hotel location at 777 Winners Way (formerly 12456 Ft. Campbell Blvd.) Oak Grove, Christian County, Kentucky 42242. The approximately 240-acre parcel of land is 15 miles south of Hopkinsville, KY; 11 miles north of Clarksville, Tennessee; approximately 55 miles northwest of Nashville, Tennessee; and sits just north of the Kentucky / Tennessee state line, which is located in the southwestern part of the Commonwealth of Kentucky. WKY Development, LLC, is a joint venture owned approximately 95% by Churchill Downs Inc. and 5% by Keeneland Association, Inc.

For reference, we have created the following executive summary. We intend to fine tune our plans for our HHR facility, processes, and games once we have this approval from the Commission, and we will provide supplemental information as requested by the Commission's as we continue to draw closer to opening.

# **Executive Summary:**

- Applicant intends to offer wagering on historical horse races at our Oak Grove Racing, Gaming & Hotel location in Oak Grove.
- Applicant will initially deploy up to 1,325 HHR machines, with multiple different cabinet styles, games and themes. We ultimately hope to have up to 2,000 units.
- As with other facilities offering wagering on historical horse races in Kentucky, all games will be tested by an approved third-party testing laboratory, and will be submitted to the Commission for final approval.
- Applicant will draw upon the expertise of its parent companies (Churchill Downs Inc. and Keeneland) in operating Oak Grove Racing, Gaming & Hotel, plus its affiliates' experience in operating other racing and gaming facilities, to provide our patrons with a safe, secure and first class wagering and entertainment experience.
- By the completion of the entire Oak Grove Racing, Gaming & Hotel facility, Applicant (through its parent companies) will have invested approximately \$200 million in the brand new, state-of-the-art facility.
- More than 350 new jobs will be created to support Applicant's HHR facility, in addition to the approximately 300 construction jobs that have were created during the 2019 2020 construction project.
- The introduction of wagering on historical horse racing by Applicant at the Oak Grove Racing, Gaming & Hotel facility will further strengthen the horse racing industry in Kentucky and provide additional purse funds to ensure Kentucky standardbred racing remains competitive.

Pursuant to 811 KAR 1:250 § 4(1), prior to accepting exotic wagers on historical horse races, an applicant shall submit a written request with the information listed below. For convenience, we have prepared separate exhibits addressing each one of these topics, and we will supplement our disclosures as additional information becomes known.

- (a) The types, number, and denominations of pari-mutuel wagers to be offered;
- (b) A detailed description of the rules that apply to the pari-mutuel wager and the method of calculating payouts, including how money will be allocated to the seed pool;
- (c) The days and hours of operation during which wagering on historical horse races will be offered;
- (d) A detailed description of the proposed designated area and the terminal or terminals on which the pari-mutuel wagers will be made, including an architect's rendering of the proposed designated area which describes the size, construction, layout, capacity, number of terminals, and location of surveillance and other security equipment, and at least one (1) photograph of the designated area when construction is completed;
- (e) The practices and procedures that will ensure the security, safety, and comfort of patrons in the designated area;
- (f) The manufacturer, make, and model of the terminal, including a copy of all literature supplied by the manufacturer of the terminal;

- (g) The maintenance and repair procedures that will ensure the integrity of the terminals;
- (h) A complete list of individuals who are authorized to examine and repair the terminals for any reason; and
- (i) A memorandum outlining the terms of the agreement between the association and the Kentucky Harness Horsemen's Association referenced in Section 6(2) of this administrative regulation.

The enclosed information is submitted in accordance with the Kentucky Revised Statutes and Kentucky Administrative Regulations, and the financial and business information contained herein is confidential, proprietary and exempt from disclosure under the Open Records Act, KRS 61.878(c).

For the reasons set forth herein, Applicant believes that it has satisfied the requirements for approval of its request to offer exotic wagers on historical horse races subject to providing final documentation, and respectfully requests the Commission's approval.

Darold Londo

General Manager and President - Oak Grove Racing, Gaming & Hotel

cc: Mike Ziegler – Executive Director of Racing, Churchill Downs Incorporated

Justin Paul – Vice President, Associate General Counsel, Churchill Downs Incorporated

**Enclosures** 

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ
CHAIRMAN

# PUBLIC PROTECTION CABINET

# KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906 4063 IRON WORKS PKWY., BLDG. B

LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: HTTP://KHRC.KY.GOV

**To:** Kentucky Horse Racing Commission

From: David Brown, Auditor, Kentucky Horse Racing Commission

Date: June 9, 2020

**Re:** Request from Churchill Downs Racetrack through its wholly owned subsidiary Derby City Gaming, LLC to offer thirty (30) International Game Technology (IGT) entertaining game themes and pari-mutuel wagering pools to be offered at Derby City Gaming. In addition, the request seeks approval for the IGT Terminal Software.

On May 29, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request from Churchill Downs Racetrack through its wholly owned subsidiary Derby City Gaming, LLC to offer thirty (30) IGT entertaining game themes and pari-mutuel wagering pools to be offered at Derby City Gaming.

On May 29, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request from Churchill Downs Racetrack through its wholly owned subsidiary Derby City Gaming, LLC to approve the Ascent Foundation HHR software used on the IGT terminals.

Prior to Churchill Downs Racetrack submitting this request, each of the 30 IGT game themes as well as the Ascent Foundation HHR Terminal Software underwent testing at BMM Testlabs, one of the independent, third-part testing laboratories under contract with the KHRC. Refer to the two Request Letters from Churchill Downs Racetrack dated May 29, 2020 for a complete list of the game theme titles and the components of the Ascent Foundation HHR Terminal Software.

BMM Testlabs certifies that each of the 30 game themes and the Ascent Foundation HHR Terminal Software to be compliant with Kentucky Revised Statute (KRS) Chapter 230, Kentucky Administrative Regulations (KAR) Title 810 Chapter 1, Sections 001, 011 and 120; KAR Title 811 Chapter 1, Section 250; KAR Chapter 2, Section 160 as adopted by the Kentucky Horse Racing Commission. The BMM Testlabs evaluation confirms that:



The themes consist of pari-mutuel wagers placed on Historical Horse Races.

The minimum wager placed on a Race Set is greater than or equal to \$0.10.

A takeout is deducted from each accepted wager for the association which is not used for the payouts on winning wagers.

Once a patron places a wager, Historical Horse Races are chosen randomly to determine the payout.

No information is shown to identify the Historical Horse Races until the patron has finished placing the wager.

The payout for a wager does not determine the amount retained by the association.

A percentage of each wager is added to the prize pools. The prize pools are funded to ensure that the amount available at any given time is sufficient to ensure that the patron will be paid the minimum amount required on a winning wager.

The payouts for each prize pool are posted on the Wagering Terminals for viewing by patrons for an interval of not more than 90 seconds.

If the 30 game themes and the Ascent Foundation HHR Terminal Software are approved, Derby City Gaming will be required to submit an exact floor layout of the terminals for KHRC staff review and approval.

Prior to Derby City offering these IGT game themes, Commission staff will perform on-site testing of the gaming system. The testing will be conducted to ensure that the games functioned as described.

Commission staff recommends approval of the request from Churchill Downs Racetrack through its wholly owned subsidiary Derby City Gaming, LLC to offer thirty (30) International Game Technology (IGT) entertaining game themes and pari-mutuel wagering pools as well as the Ascent Foundation HHR Terminal Software to be offered at Derby City Gaming.

COMMISSION TOTAL	
	_Approved
	_Denied
	_Deferred

COMMISSION ACTION





Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 29<sup>th</sup>, 2020

Mr. Marc A. Guilfoil Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Bldg. B Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the "Commission") to Offer Thirty Additional International Game Technology Entertaining Game Themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.

# Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission's approval of the following additional thirty (30) International Game Technology ("IGT") entertaining game themes and pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. The games would be operated by IGT and report all pari-mutuel wagering pools through a technology vendor agreement using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the "Ainsworth System").

- One new pari-mutuel pool to operate the **Cleopatra Gold GAME020009MNVT01**<sup>1</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Coin O Mania GAME020009MNST01**<sup>2</sup> entertaining game theme.

- One new pari-mutuel pool to operate the **Da Vinci Diamonds Dual Play GAME020001MNWT01**<sup>3</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Fort Knox Cleopatra GAME020009MNKX02**<sup>4</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Fort Knox Diamond Vault GAME020009MNLX01**<sup>5</sup> entertaining game theme.
- Two new pari-mutuel pools to operate the Fortune Charm GAME020001MNBX01<sup>6</sup>/ GAME020009MMSX01<sup>7</sup> and Fortune Coin GAME020001MNAX02<sup>8</sup> entertaining game themes.
- One new pari-mutuel pool to operate the **Fortune Gong Tiger GAME020009MNFX01**<sup>9</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Fortune Gong Tortoise GAME020009MNEX01**<sup>10</sup> entertaining game theme.
- One new pari-mutuel pool to operate the Gold and Dragon II GAME020001MNUX01<sup>11</sup> entertaining game theme.
- Two new pari-mutuel pools to operate the Golden Rooster GAME020001MNDX02<sup>12</sup> and Triple Fortune Dragon GAME020001MNCX02<sup>13</sup> entertaining game themes.
- One new pari-mutuel pool to operate the **Griffins Throne GAME020009MNPT01**<sup>14</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Hot Hit Ignite GAME020009MMVX01**<sup>15</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Hot Hit Pepper Pays GAME020009MMTX01**<sup>16</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Hyper Hits Flower Empress GAME020001MMWX01**<sup>17</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Hyper Hits The Golden Bow GAME020001MNZX01**<sup>18</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Jungle Tower GAME020009MNOX01**<sup>19</sup> entertaining game theme.
- One new pari-mutuel pool to operate the Lotus Flower GAME020001MNIT02<sup>20</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Mega Wheel GAME020009MMYT02**<sup>21</sup> entertaining game theme.

- One new pari-mutuel pool to operate the **Mistress of Egypt GAME020001MNXT01**<sup>22</sup> entertaining game theme.
- One new pari-mutuel pool to operate the Red Hot Tamales 3R GAME020001MNRT03<sup>23</sup> entertaining game theme.
- One new pari-mutuel pool to operate the Red Hot Tamales 5R GAME020001MNJT01<sup>24</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Solar Disc GAME020001MNQT01**<sup>25</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Stinkin' Rich GAME020001MMXT01**<sup>26</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **The Wild Life GAME020001MNYT01**<sup>27</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Twin Strike GAME020001MNNX01**<sup>28</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Wild Fury GAME020009MNTT02**<sup>29</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Wolf Run GAME020001MMZT01**<sup>30</sup> entertaining game theme.

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<sup>1</sup>BMM Report for Cleopatra Gold GAME020009MNVT01 - IGTU20571KY
<sup>2</sup>BMM Report for Coin O Mania GAME020009MNST01 - IGTU20921KY
<sup>3</sup>BMM Report for Da Vinci Diamonds Dual Play GAME020001MNWT01 - IGTU20551KY
<sup>4</sup>BMM Report for Fort Knox Cleopatra GAME020009MNKX02 - IGTU20291KY
<sup>5</sup>BMM Report for Fort Knox Diamond Vault GAME020009MNLX01 - IGTU20711KY
<sup>6</sup>BMM Report for Fortune Charm GAME020001MNBX01 - IGTU20441KY
<sup>7</sup>BMM Report for Fortune Charm GAME020009MMSX017 - IGTU20431KY
<sup>8</sup>BMM Report for Fortune Coin GAME020001MNAX02 - IGTU20301KY
<sup>9</sup>BMM Report for Fortune Gong Tiger GAME020009MNFX01 - IGTU20691KY
<sup>10</sup>BMM Report for Fortune Gong Tortoise GAME020009MNEX01 - IGTU20891KY
<sup>11</sup>BMM Report for Gold and Dragon II GAME020001MNUX01 - IGTU20721KY
<sup>12</sup>BMM Report for Golden Rooster GAME020001MNDX02 - IGTU20421KY
<sup>13</sup>BMM Report for Triple Fortune Dragon GAME020001MNCX02 - IGTU20321KY
<sup>14</sup>BMM Report for Griffins Throne GAME020009MNPT01 - IGTU20931KY
<sup>15</sup>BMM Report for Hot Hit Ignite GAME020009MMVX01 - IGTU20881KY
<sup>16</sup>BMM Report for Hot Hit Pepper Pays GAME020009MMTX01 - IGTU20871KY
<sup>17</sup>BMM Report for Hyper Hits Flower Empress GAME020001MMWX01 - IGTU20731KY
<sup>18</sup>BMM Report for Hyper Hits The Golden Bow GAME020001MNZX01 - IGTU20701KY
<sup>19</sup>BMM Report for Jungle Tower GAME020009MNOX01 - IGTU20741KY
<sup>20</sup>BMM Report for Lotus Flower GAME020001MNIT02 - IGTU20521KY
<sup>21</sup>BMM Report for Mega Wheel GAME020009MMYT02 - IGTU20911KY
<sup>22</sup>BMM Report for Mistress of Egypt GAME020001MNXT01 - IGTU20901KY
<sup>23</sup>BMM Report for Red Hot Tamales 3R GAME020001MNRT03 - IGTU20531KY
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BMM Testlabs ("BMM"), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter.

If approved, a final floor layout and pool commingling list that includes these parimutuel wagering pools will be sent to KHRC staff for review and approval prior to the final reconfiguration.

Sincerely,

Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

Patrole Digs

cc: David R Brown, KHRC

Frank Kling, KHRC

Justin Paul Tim Bryant

<sup>&</sup>lt;sup>24</sup>BMM Report for Red Hot Tamales 5R GAME020001MNJT01 - IGTU20541KY

<sup>&</sup>lt;sup>25</sup>BMM Report for Solar Disc GAME020001MNQT01 - IGTU20961KY

<sup>&</sup>lt;sup>26</sup>BMM Report for Stinkin' Rich GAME020001MMXT01 - IGTU20511KY

<sup>&</sup>lt;sup>27</sup>BMM Report for The Wild Life GAME020001MNYT01 - IGTU20941KY

<sup>&</sup>lt;sup>28</sup>BMM Report for Twin Strike GAME020001MNNX01 - IGTU20971KY

<sup>&</sup>lt;sup>29</sup>BMM Report for Wild Fury GAME020009MNTT02 - IGTU20561KY

<sup>&</sup>lt;sup>30</sup>BMM Report for Wolf Run GAME020001MMZT01 - IGTU20951KY



Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 29<sup>th</sup>, 2020

Mr. Marc A. Guilfoil Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Bldg. B Lexington, KY 40511

Request for approval of International Game Technology ("IGT") Terminal Software.

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC, respectfully requests the Commission's approval to use the following International Game Technology ("IGT") terminal software offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213.

The following are the components that make up the Ascent Foundation HHR software used on IGT terminals. Please see BMM Report IGTU20751KY for descriptions of the various components.

- AI020000NHR14
- ASCENT020000NHR14
- APR00004
- DIAGUNV00116
- IBRF40AHRKY13
- IBRF40IHRKY13
- OI0200200508
- OS0200000508
- OS020PM00508
- EKEY000009
- UBPF0272

The above-listed software has been submitted to BMM Testlabs ("BMM") for independent testing, an independent testing laboratory. The software is to be used in conjunction with entertaining games that are still pending approval and will not be used until then.

Sincerely,

Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

Patrol Duey

cc: David R Brown, KHRC

Frank Kling, KHRC

Justin Paul Tim Bryant ANDY BESHEAR GOVERNOR

KERRY B. HARVEY
SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ
CHAIRMAN

### PUBLIC PROTECTION CABINET

## KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906 4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

**To:** Kentucky Horse Racing Commission

From: Frank Kling, Chair of the Wagering Integrity Working Group

**Date:** June 8, 2020

**Re:** Request from Derby City Gaming to approve fifteen Scientific Games Corporation

entertaining game themes, one new associated pari-mutuel pool and five associated pool

configuration options.

On May 29, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request from Churchill Downs Racetrack, LLC by and through its wholly owned subsidiary Derby City Gaming, LLC to offer fifteen new Scientific Games Corporation entertaining game themes, one new associated pari-mutuel wagering pool and five associated pool configuration options.

The requests are as follows:

- One new entertaining game themes **Lock It Link Shi Shi Shun v272940A**, which operates off of previously-approved Lock It Link Diamonds pari-mutuel wagering pool.
- Two new entertaining game themes; Lock It Link Night Life v272933A and Lock It Link Lu Lu
  Tong v272941A, which operates off of previously-approved Lock It Link Cats Hats and More Bats
  pari-mutuel wagering pool.
- One new pari-mutuel pool to operate the **Ultimate Fire Link** Olvera Street v272948A entertaining game theme.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Quick Hit Riches Triple Blazing 7'sWild v273691A and Quick Hit Riches Black Gold Wild v273690A entertaining game themes.



- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Quick Hit Treasures v273744A, Quick Hit Las Vegas v273796A, and Quick Hit Dragon v273795A entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the **Quick Hit Lucky Wheel v273742A** and **Quick Hit Money Wheel v273743A** entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Celestial King v273703A, Enchanting Dreams v273797A and Romance of the Nile v273798A entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the entertaining
  game theme Golden Charms v272952A, which operates off of a previously-approved pool configuration
  for Celestial King.

The requested pari-mutuel wagering pool, entertaining game themes and pool configuration options will be hosted on the computer servers operated by Ainsworth Game Technology ("AGT"), through a technology provider agreement between AGT and Scientific Games Corporation. The pools utilize the structure of AGT pools that have been approved by the KHRC.

GLI has performed testing on the new historical horse racing pari-mutuel wagering pool, the fifteen entertaining game themes and the five associated pool configuration options against the statutes and regulations set forth in KRS Chapter 230 and 810 KAR Chapter 1 outlining the requirements for pari-mutuel wagering and historical horse racing conducted in Kentucky. The results of GLI's testing confirm that the Scientific Games Corporation Historical Horse Racing System is appropriately designed to accept wagers and distribute winnings in a pari-mutuel fashion, as defined by the aforementioned statutes and regulations. The results also indicate that all money wagered is properly distributed in a pari-mutuel fashion, in accordance with the associated manufacturer specification documents provided by Scientific Games Corporation , as well as the specific methodology defined by 810 KAR 1:011. GLI has also determined that the results of a historical thoroughbred races compared against a players selection are the only determining factor in the outcome of the player's wager. The outcome of the game is entirely determined by the player's picks evaluated against the results of the historical race.

The KHRC staff has reviewed the fifteen GLI reports and believes that the new pari-mutuel wagering pool, the fifteen associated entertainment game themes and five additional pool configuration options comply with all relevant Kentucky statutes and regulations. The GLI reports are available for all KHRC members upon request.

Prior to CDRT offering pari-mutuel wagering on the new pool, Commission staff will perform on-site testing of the pool. The testing will be conducted to ensure that the pool functioned as described and that the payouts complied with the documentation provided to the Commission by GLI.

Churchill Downs staff would be required to submit a final floor layout and pool commingling list that includes these all pari-mutuel wagering pools to KHRC staff for review and approval prior to the final reconfiguration.



KHRC staff recommends approval of the request of Churchill Downs Racetrack, LLC by and through its wholly owned subsidiary Derby City Gaming LLC, to offer fifteen new Scientific Games entertaining game themes, one new associated pari-mutuel pool and five associated pool configuration options.

COMMISSION ACTION	
	_Approved
	_ Denied
	Deferred





Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 29th, 2020

Mr. Marc A. Guilfoil Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Bldg. B Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the "Commission") to Offer Fifteen Additional Scientific Game Corporation Entertaining Game Themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.

#### Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission's approval of the following additional fifteen (15) Scientific Game Corporation entertaining game themes and pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. The games would be operated by Scientific Game Corporation and report all parimutuel wagering pools through a technology vendor agreement using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the "Ainsworth System").

- One new entertaining game themes Lock It Link Shi Shi Shun v272940A<sup>1</sup>, which operates off of previously-approved Lock It Link Diamonds pari-mutuel wagering pool.
- Two new entertaining game themes; Lock It Link Night Life v272933A<sup>2</sup> and Lock It Link Lu Lu Tong v272941A<sup>3</sup>, which operates off of previously-approved Lock It Link Cats Hats and More Bats pari-mutuel wagering pool.

- One new pari-mutuel pool to operate the **Ultimate Fire Link Olvera Street v272948A**<sup>4</sup> entertaining game theme.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Quick Hit Riches Triple Blazing 7's Wild v273691A<sup>5</sup> and Quick Hit Riches Black Gold Wild v273690A<sup>6</sup> entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Quick Hit Treasures v273744A<sup>7</sup>, Quick Hit Las Vegas v273796A<sup>8</sup>, and Quick Hit Dragon v273795A<sup>9</sup> entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Quick Hit Lucky Wheel v273742A<sup>10</sup> and Quick Hit Money Wheel v273743A<sup>11</sup> entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the Celestial King v273703A<sup>12</sup>, Enchanting Dreams v273797A<sup>13</sup> and Romance of the Nile v273798A<sup>14</sup> entertaining game themes.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to
  operate the entertaining game theme Golden Charms v272952A<sup>15</sup>, which operates off of
  a previously-approved pool configuration for Celestial King.

Gaming Laboratories International ("GLI"), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter.

<sup>&</sup>lt;sup>1</sup>GLI Report for Lock It Link Shi Shi Shun v272940A - MO-399-BLY-20-37

<sup>&</sup>lt;sup>2</sup>GLI Report for Lock It Link Night Life v272933A - MO-399-BLY-20-41

<sup>&</sup>lt;sup>3</sup>GLI Report for Lock It Link Lu Lu Tong v272941A - MO-399-BLY-20-36

<sup>&</sup>lt;sup>4</sup>GLI Report for Ultimate Fire Link Olvera Street v272948A - MO-399-BLY-20-48

<sup>&</sup>lt;sup>5</sup>GLI Report for Quick Hit Riches Triple Blazing 7's Wild v273691A - MO-399-BLY-20-53

<sup>&</sup>lt;sup>6</sup>GLI Report for Quick Hit Riches Black Gold Wild v273690A - MO-399-BLY-20-52

<sup>&</sup>lt;sup>7</sup>GLI Report for Quick Hit Treasures v273744A - MO-399-BLY-20-46

<sup>&</sup>lt;sup>8</sup>GLI Report for Quick Hit Las Vegas v273796A - MO-399-BLY-20-51

<sup>&</sup>lt;sup>9</sup>GLI Report for Quick Hit Dragon v273795A - MO-399-BLY-20-50

<sup>&</sup>lt;sup>10</sup>GLI Report for Quick Hit Lucky Wheel v273742A - MO-399-BLY-20-42

<sup>&</sup>lt;sup>11</sup>GLI Report for Quick Hit Money Wheel v273743A - MO-399-BLY-20-45

<sup>&</sup>lt;sup>12</sup>GLI Report for Celestial King v273703A - MO-399-BLY-20-43

<sup>&</sup>lt;sup>13</sup>GLI Report for Enchanting Dreams v273797A - MO-399-BLY-20-54

<sup>&</sup>lt;sup>14</sup>GLI Report for Romance of the Nile v273798A - MO-399-BLY-20-55

<sup>&</sup>lt;sup>15</sup>GLI Report for Golden Charms v272952A – Addendum to MO-399-BLY-20-33 on May 26, 2020

If approved, a final floor layout and pool commingling list that includes these parimutuel wagering pools will be sent to KHRC staff for review and approval prior to the final reconfiguration.

Sincerely,

Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

Partorde Dugg

cc: David R Brown, KHRC

Frank Kling, KHRC

Justin Paul Tim Bryant ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ
CHAIRMAN

## PUBLIC PROTECTION CABINET

### KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 4051 1 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

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**To:** Kentucky Horse Racing Commission

From: Frank Kling, Chair of the Wagering Integrity Working Group

**Date:** June 6, 2020

**Re:** Request from Churchill Downs Race Track, LLC to offer Eight Additional Ainsworth Entertaining

Game Themes and Three Additional Pari-Mutuel Wagering Pools.

On May 29, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request from Churchill Downs Race Track, LLC ("CDRT") requesting approval to offer eight new Ainsworth Games Technology game themes and three new associated pari-mutuel wagering pools, to be offered at the Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213.

The games would operate and report all pari-mutuel wagering pools via the Ainsworth Historical Horse Racing Pari-Mutuel Wagering System (the "Ainsworth System"). The requested changes are as follows:

Two new entertaining game themes; **Vegas Fantasy v2020.4.9.8** ((MO-399-AWG-20-15) and **Vegas Fiesta v2020.4.9.8** (MO-399-AWG-20-17), which operates off of previously-approved Vegas Fever pari-mutuel wagering pool.

One new entertaining game theme **Super Lucky Stars v2020.3.18.8** (MO-399-AWG-20-09), which operates off of previously-approved Lucky Stars pari-mutuel wagering pool.

One new entertaining game theme **Queen of the Ruby Pyramid v2020.3.4.8** (MO-399-AWG-20-08), which operates off of previously-approved Arctic Explorer pari-mutuel wagering pool.

One new pari-mutuel pool to operate the **Ultimate Empire Firestorm v2020.2.20.8** (MO-399-AWG-20-06) and **Ultimate Festival Firestorm v2020.2.25.8** (MO-399-AWG-20-07) entertaining game themes.

One new pari-mutuel pool to operate the **Super Wheel 7s v2020.4.10.8** (MO-399-AWG-20-18) entertaining game theme.



One new pari-mutuel pool to operate the **Dollar Streak v202111.13.8** (MO-399-AWG-20-04) entertaining game theme.

Approval to update the Ainsworth System database to use HHRGameInfo 9.0.2.37 in support of the above listed entertaining game themes.

GLI has performed testing on the three historical horse racing pari-mutuel wagering pools and the eight entertaining game themes against the statutes and regulations set forth in KRS Chapter 230 and 810 KAR Chapter 1 outlining the requirements for pari-mutuel wagering and historical horse racing conducted in Kentucky. The results of GLI's testing confirm that the Ainsworth Historical Horse Racing System is appropriately designed to accept wagers and distribute winnings in a pari-mutuel fashion, as defined by the aforementioned statutes and regulations. The results also indicate that all money wagered is properly distributed in a pari-mutuel fashion, in accordance with the associated manufacturer specification documents provided by Ainsworth Game Technology, as well as the specific methodology defined by 810 KAR 1:011. GLI has also determined that the results of a historical thoroughbred races compared against a players selection are the only determining factor in the outcome of the player's wager. The outcome of the game is entirely determined by the player's picks evaluated against the results of the historical race.

The KHRC staff has reviewed the eight GLI reports and believes that the additional wagering pools and entertainment game themes comply with all relevant Kentucky statutes and regulations. The GLI reports are available for all KHRC members upon request.

Prior to CDRT offering pari-mutuel wagering on the new pool, Commission staff will perform on-site testing of the pools. The testing will be conducted to ensure that the pools functioned as described and that the payouts complied with the documentation provided to the Commission by GLI.

Commission staff recommends approval of the Churchill Downs Race Track request to offer eight additional Ainsworth entertaining game themes and three additional associated Pari-Mutuel Wagering pools.

<b>COMMISSION ACTION</b>	
	_Approved
	_Denied
	Deferred





Patrick Duggan
Derby City Gaming, LLC
4520 Poplar Level Rd
Louisville, KY 40213
Patrick.Duggan@derbycitygaming.com
502-961-7680

May 29th, 2020

Mr. Marc A. Guilfoil Executive Director Kentucky Horse Racing Commission 4063 Iron Works Parkway, Bldg. B Lexington, KY 40511

Request to the Kentucky Horse Racing Commission (the "Commission") to Offer Eight Additional Ainsworth Entertaining Game Themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.

#### Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission's approval of the following additional eight (8) Ainsworth entertaining game themes and pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. The games would operate and report all pari-mutuel wagering pools via the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the "Ainsworth System").

- Two new entertaining game themes; Vegas Fantasy v2020.4.9.8<sup>1</sup> and Vegas Fiesta v2020.4.9.8<sup>2</sup>, which operates off of previously-approved Vegas Fever pari-mutuel wagering pool.
- One new entertaining game theme **Super Lucky Stars v2020.3.18.8**<sup>3</sup>, which operates off of previously-approved Lucky Stars pari-mutuel wagering pool.
- One new entertaining game theme **Queen of the Ruby Pyramid v2020.3.4.8**<sup>4</sup>, which operates off of previously-approved Arctic Explorer pari-mutuel wagering pool.

- One new pari-mutuel pool to operate the **Ultimate Empire Firestorm v2020.2.20.8**<sup>5</sup> and **Ultimate Festival Firestorm v2020.2.25.8**<sup>6</sup> entertaining game themes.
- One new pari-mutuel pool to operate the **Super Wheel 7s v2020.4.10.8**<sup>7</sup> entertaining game theme.
- One new pari-mutuel pool to operate the **Dollar Streak v2020.1.13.8**8 entertaining game theme.
- Approval to update the Ainsworth System database to use HHRGameInfo 9.0.2.37 in support of the above listed entertaining game themes.

<sup>1</sup>GLI Report for Vegas Fantasy v2020.4.9.8 - MO-399-AWG-20-15

<sup>2</sup>GLI Report for Vegas Fiesta v2020.4.9.8 - MO-399-AWG-20-17

<sup>3</sup>GLI Report for Super Lucky Stars v2020.3.18.8 - MO-399-AWG-20-09

<sup>4</sup>GLI Report for Queen of the Ruby Pyramid v2020.3.4.8 - MO-399-AWG-20-08

<sup>5</sup>GLI Report for Ultimate Empire Firestorm 2020.2.20.8 - MO-399-AWG-20-06

<sup>6</sup>GLI Report for Ultimate Festival Firestorm 2020.2.25.8 - MO-399-AWG-20-07

<sup>7</sup>GLI Report for Super Wheel 7s v2020.4.10.8 - MO-399-AWG-20-18

<sup>8</sup>GLI Report for Dollar Streak 2020.1.13.8 - MO-399-AWG-20-04

Gaming Laboratories International ("GLI"), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. For the reasons set forth herein, Churchill Downs respectfully requests the Commission's approval of the entertaining game themes included in this letter.

If approved, a final floor layout and pool commingling list that includes these parimutuel wagering pools will be sent to KHRC staff for review and approval prior to the final reconfiguration.

Sincerely,

Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

Patrole Dugy

cc: David R Brown, KHRC Frank Kling, KHRC Justin Paul Tim Bryant ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ

## PUBLIC PROTECTION CABINET

## KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 4051 1 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

**To:** Kentucky Horse Racing Commission

From: Frank Kling, Chair of the Wagering Integrity Working Group

**Date:** June 5, 2020

**Re:** Request from KRM Wagering, LLC to offer PariMAX Pari-Mutuel Wagering System versions 3.21,

3.22 and 3.23

On May 27, 2020, the Kentucky Horse Racing Commission ("KHRC") received a request from KRM Wagering, LLC ("KRM") to offer PariMAX Pari-Mutuel Wagering System versions 3.21 and 3.22. On May 29, 2020 the KHRC received a request from KRM to offer PariMAX Pari-Mutuel Wagering System version 3.23. This memo addresses both requests.

The PariMAX Pari-Mutuel Wagering System is operated by PariMAX Holdings, LLC ("PariMAX"), a division of AmTote International, LLC ("AmTote"). Prior to KRM submitting these requests, PariMAX Pari-Mutuel System versions 3.21, 3.22 and 3.23, along with all previous versions, underwent testing at Gaming Laboratories International ("GLI"), one of the independent, third-part testing laboratories under contract with the KHRC.

The AmTote PariMax Pari-Mutuel Wagering System releases have the following features:

Version 3.21, includes one new game theme "Rampage Rush" that utilizes a new wager profile P3P5\_Plus\_PF5\_Plus\_FF,

Version 3.22, includes one new game theme "Fire of the Furies" that will utilize the above requested wager profile, as well as a display fix to the game theme "Sea of Fortune", and



Version 3.23, which includes one new game theme "Jackpot Noir" that utilizes an existing wager profile in Group 8, along with graphical fixes to six game themes, and a logging file fix with the game theme "Sea of Fortune."

GLI has performed testing on the PariMAX Pari-Mutuel Wagering System versions 3.21 (SY-399-AMT-20-06), 3.22 (SY-399-AMT-20-07) and 3.23 (SY-399-AMT-20-08) against the statutes and regulations set forth in KRS Chapter 230 and 810 KAR Chapter 1 outlining the requirements for pari-mutuel wagering and historical horse racing conducted in Kentucky. The results of GLI's testing confirm that the PariMAX Pari-Mutuel Wagering System is appropriately designed to accept wagers and distribute winnings in a pari-mutuel fashion, as defined by the aforementioned statutes and regulations. The results also indicate that all money wagered is properly distributed in a pari-mutuel fashion, in accordance with the associated manufacturer specification documents provided by AmTote International, as well as the specific methodology defined by 810 KAR 1:011. GLI has also determined that the results of a historical thoroughbred races compared against a players selection are the only determining factor in the outcome of the player's wager. The outcome of the game is entirely determined by the player's picks evaluated against the results of the historical race.

The KHRC staff has reviewed the three reports and believes that the additional wagering pool and entertainment game themes comply with all relevant Kentucky statutes and regulations. The GLI reports for versions 3.21, 3.22 and 3.23 are available for all KHRC members upon request.

If the proposed PariMAX Pari-Mutuel Wagering System versions 3.21, 3.22 and 3.23 are approved, KRM will be required to submit an exact floor layout and a list of the terminals that will offer wagering on the PariMAX Pari-Mutuel Wagering System versions 3.21, 3.22 and 3.23 to the KHRC staff for review and approval.

Prior to KRM offering pari-mutuel wagering on the new pool, Commission staff will perform on-site testing of the pool. The testing will be conducted to ensure that the pool functioned as described and that the payouts complied with the documentation provided to the Commission by GLI.

Commission staff recommends approval of the KRM request to authorize PariMAX Pari-mutuel Wagering System version 3.21, 3.22 and 3.23 and the exotic wagering pool and entertaining game themes associated with version 3.21, 3.22 and 3.23.

<u>COMMISSION ACTION</u>	
	_Approved
	_Denied
	_Deferred





## KRM Wagering, LLC

May 27, 2020

Mr. Marc Guilfoil, Executive Director Kentucky Horse Racing Commission 4063 Ironworks Pkwy, Building B Lexington, KY 40511

Re: Approval request for Parimax 3.21 and 3.22

Dear Mr. Guilfoil:

KRM Wagering would like to request approval for Parimax releases 3.21 and 3.22 at the upcoming June commission meeting. These releases have the following features:

- HRS 3.21 has one new game theme, Rampage Rush, on a new Wager Profile: WP-P3P5\_Plus.P2P5\_Plus\_FF.
- HRS 3.22 has one new game theme, Fire of the Furies, on Wager Profile WP-P3P5\_Plus.P2P5\_Plus\_FF, which is requested for approval in HRS 3.21 above, along with a bug fix to previously approved game theme, Sea of Fortune.

I have attached the release notes for HRS 3.21 and HRS 3.22, and I will forward the individual wager profile and game specs separately, due to file size. It is my understanding that GLI has sent reports on these releases directly to the KHRC. Please let me know if additional information is needed regarding this request.

Sincerely,

Shannon

Shannon M. Cobb Chief Operating and Financial Officer

Cc: Vince Gabbert Joe Costa Steve Jimenez



## KRM Wagering, LLC

May 29, 2020

Mr. Marc Guilfoil, Executive Director Kentucky Horse Racing Commission 4063 Ironworks Pkwy, Building B Lexington, KY 40511

Re: Approval request for Parimax 3.23

Dear Mr. Guilfoil:

KRM Wagering would like to request approval for Parimax/Amtote release 3.23 at the upcoming February commission meeting. This release has the following features:

- A new game theme, Jackpot Noir, on previously approved wager profile WP.P6.P3P5\_Plus.P2P5\_Plus
- Bug fix to game launching issue on Aztec Super Storm, Let's Get Spinning, Red's Tale, Snow's Tale, Sea of Fortune, and Upgrade Overdrive;
- Bug fix to Alchemist's Jackpot game not producing log files.
- Update to the PariMAX BaseTIM (terminal hardware) includes updates to the PlayerGameControl and TouchPanel applications.

I have attached the 3.23 release notes, and I will forward the individual game specs for the above in a separate email, due to file size. It is my understanding that GLI reports on these items will be received posthaste. Please let me know if additional information is needed regarding this request.

Sincerely,

Shannon M. Cobb Chief Operating and Financial Officer

Cc: Frank Kling
David Brown
Vince Gabbert
Joe Costa
Steve Jimenez

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY
SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

## PUBLIC PROTECTION CABINET

### KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

TT 0	******	TTO DOT D 4	~TTT~ ~	
TO:	KENTUCKY	HORSE RA	CINC+C	OMMISSION

FROM: Barbara Borden, Chief State Steward

DATE: June 1, 2020

RE: KENTUCKY DOWNS' PROPOSED 2020 RACE MEET OFFICIALS AND DATE

PREFERENCE SYSTEM

By letter sent May 28, 2020, Tyler Picklesimer, Racing Secretary at Kentucky Downs, requests approval of the attached Roster of Racing Officials and Date Preference System for their 2020 race meet. Please be advised all Stewards are accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSIO	N ACTION:
	_ Approved
	_ Deferred
	Denied





May 28, 2020

5629 Nashville Road Franklin, Kentucky 42134

270-586-7778

KentuckyDowns.com

Marc Guilfoil, Executive Director Kentucky Horse Racing Commission 4063 Ironworks Parkway, Building B Lexington, KY 40511

Mr. Guilfoil,

Kentucky Downs requests approval from the Kentucky Horse Racing Commission for the following roster of racing officials for the 2020 racing season.

**Executive Staff** 

Ron Winchell - Managing Partner

Marc Falcone - Managing Partner

Ted Nicholson – Senior VP and General Manager

Butch Lehr – Track Superintendent

Racing Officials

Hal Wiggins \* - Association Steward

Tyler B. Picklesimer \* - Racing Secretary

Tiffany Bourque - Assistant Racing Secretary

Rick Albright - Stakes Coordinator/Placing Judge

Steve Penrod - Paddock Judge and Timer

Balev Hare - Paddock Judge and Timer

Stuart Slagle - Placing Judge/Claims Clerk

Jeff Ewalt\* - Placing Judge

Melinda Vest - Horse Identifier

Darrell Foster and Javier Torres - Clerk of Scales

Frank Smothers - Jockey Room Custodian

Scott Jordan - Starter

Arthur J. Zeis - Stall Superintendent

Art Teal - Paddock Blacksmith

Lee Lockwood - Outrider

John Wells - Outrider

Greg Blasi - Outrider

Susie Perry - Horsemens Bookkeeper

Jeff Halterman - Photo Finish Operator - American Teletimer

Rob King - Security Director

Chris Sandefur - Mutuels Manager

(\*Designates Accredited Steward - Racing Officials Accreditation Program).

Sincerely,

Tyler Picklesimer



5629 Nashville Road Franklin, Kentucky 42134

270-586-7778

# **Date Preference System**

The following date system of preference is used at Kentucky Downs:

KentuckyDowns.com

Foal certificates are no longer are required to be on file in the racing office to enter or start at Kentucky Downs; however, foal certificates (2017 and older) must be on file to obtain a preference date and to have a horse digitally tattooed. Foals and 2018 must assign their Digital Foal Certificates to Kentucky Downs in order to obtain a preference date. ADDITIONALLY, FOAL CERTIFICATES ON FILE AT Ellis PARK or CHURCHILL DOWNS PRIOR TO THE END OF THE ELLIS PARK MEET WILL HAVE A ZERO DATE AT KY DOWNS (NO NEED TO MOVE FOAL PAPERS FROM ELLIS or CHURCHILL DOWNS TO KENTUCKY DOWNS).

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses into over-filled races.

- 1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first.

  Horses having identical dates will be chosen by lot; however, where entry and running dates are the same, the horse with the entry date will have preference.
- 2. All horses intended for racing at Kentucky Downs (who fulfill the criteria below) will be assigned a preference date. A horse can have only one date at any particular time. Dates assigned will be of two types:

## E-Date

This date will correspond to: (a) the date on which a horse's foal papers / digital foal certificate and name card are submitted to the Identifier (foal certificates / digital foal certificates must be on file by September 1st to receive the earliest date. Additionally, the deadline to turn in foal papers / digital foal certificates to receive a date on entry day is 9:30 am CDT); (b) the day on which a horse is first entered if his papers are not on file with the Identifier. To qualify for the latter E-Date, a horse must have been excluded from a race that appears on the overnight or he must have been entered in a condition book race that failed to fill through insufficient entries.

# R-Date

This date will correspond to the day of a horse's most recent race at Kentucky Downs. An R-Date will also be assigned to a horse that scratches.

- 3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers are removed from the Identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Kentucky Downs. Additionally, horses racing at Kentucky Downs without foal papers on site will not retain their R-Date.
- 4. Preference dates are good for any type of race or distance (other than maiden dates).
- 5. Maiden dates will be kept separately and will not have preference in winners' races.
- 6. No E-Date will be assigned to the second choice of an un-split same-owner entry.
- 7. A horse that has been placed on a list (Veterinarian, Steward, Starter, or Paddock Judge) will lose his date and be assigned an R-Date corresponding to the day he is removed from the list.
- 8. Horses on the Also-Eligible list shall be permitted to draw into races and will be assigned post positions in the order of their dates, earliest dates first.

- 9. A horse that is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain his previous date.
- 10. The draw of stake and handicap races is not subject to date preference.
- 11. Preference dates are transferred through change in ownership and changes in trainer only after the proper transfer procedures have been completed through the Stewards' Office and delivered to the Racing Secretary's Office.
- 12. Date preference rules will not supersede KHRC rules regarding preference, eligibility, or scratches.

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY
SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

### PUBLIC PROTECTION CABINET

## KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

TO:	KENTLICKY	HORSE RA	CINC	COMMISSION	J

FROM: Barbara Borden, Chief State Steward

DATE: June 4, 2020

RE: CHURCHILL DOWNS'S PROPOSED 2020 SEPTEMBER DERBY & REGULAR

SEPTEMBER RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM

By letter sent May 28, 2020, Ben Huffman, Racing Secretary at Churchill Downs, requests approval of the attached Rosters of Racing Officials and Date Preference System for their September Derby & regular September race meets. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSIO	N ACTION:
	_ Approved
	_ Deferred
	Denied





May 28, 2020

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Lexington, KY 40511

Dear Mr. Guilfoil,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2020 Churchill Downs September Derby Meet and the regular September Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

D. Ben Huffman Racing Secretary

Enclosure

## CHURCHILL DOWNS OFFICIALS FOR THE 2020 SEPTEMBER DERBY MEET

ASSOCIATION STEWARD:

Tyler Picklesimer

RACING SECRETARY:

Ben Huffman

ASST. RACING SECRETARY/STAKES COORDINATOR

Dan Bork

HORSE IDENTIFIER:

Melinda Vest

**CLERK OF SALES:** 

**Javier Torres** 

JOCKEY ROOM CUSTODIAN:

Kenneth Bourque

**CLAIMS CLERK:** 

Vicki Tomlinson

PROGRAM COORDINATOR:

Tiffany Bourque

HORSEMEN'S BOOKKEEPER:

Trish Rankin

STARTER:

Scott Jordan

**OUTRIDERS:** 

Greg Blasi Lee Lockwood

Shane Hoodenpyle

SR. DIRECTOR SECURITY AND SAFETY

Earl Biggett

**DIRECTOR OF MUTUELS:** 

Rick Smith

TIMER:

American Teletimer

CLOCKER:

Peter Vestal

ENTRY CLERKS, PADDOCK AND PLACING JUDGES:

Steve Obrekaitis Steve Penrod Stuart Slagle Jorie Gorski Baley Hare

Tia Murphy

ANNOUNCER:

**Travis Stone** 

STALL MANAGER:

Steve Hargrave

## CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2020 SEPTEMBER MEET

ASSOCIATION STEWARD: Tyler Picklesimer

RACING SECRETARY: Ben Huffman

ASST. RACING SECRETARY/STAKES COORDINATOR Dan Bork

HORSE IDENTIFIER: Melinda Vest

CLERK OF SALES: Javier Torres

JOCKEY ROOM CUSTODIAN: Kenneth Bourque

CLAIMS CLERK: Vicki Tomlinson

PROGRAM COORDINATOR: Tiffany Bourque

HORSEMEN'S BOOKKEEPER: Trish Rankin

STARTER: Scott Jordan

OUTRIDERS: Greg Blasi

Lee Lockwood Shane Hoodenpyle

SR. DIRECTOR SECURITY AND SAFETY Earl Biggett

DIRECTOR OF MUTUELS: Rick Smith

TIMER: American Teletimer

CLOCKER: Peter Vestal

ENTRY CLERKS, PADDOCK AND PLACING JUDGES: Steve Obrekaitis

Steve Penrod Stuart Slagle Jorie Gorski Baley Hare Ron Tomlinson

ANNOUNCER: Travis Stone

STALL MANAGER: Steve Hargrave

#### DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed. To receive a zero (0) date, foal papers or Digital Foal Certificates must be on file with the Horse Identifier by Tuesday, September 1, 2020

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

- 1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
- 2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:

#### E-Date

This date will correspond to:

- a) The day on which a horse's foal papers and nametag and digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

#### **R-Date**

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

- 3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Churchill Downs.
- 4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
- 5. A horse that runs in a race, which has been taken off the turf, will retain its turf date. A scratched horse will receive an R-date from the date scratched.
- 6. When a race is taken off the turf, dirt preferred horses will receive an R-Date if they run or scratch. If the race remains on the turf, dirt preferred horses will retain their previous date.
- 7. Horses on the Also-Eligible list shall be permitted to draw into races in order of their dates, earliest dates first, (Exception: when a race is removed from the turf. Dirt Preferred also-eligibles will have first preference to draw into the race in order of their date preference. If the race remains on the turf they will have no preference.)

- 8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
- 9. A horse, which has been placed on a list (veterinarian, steward, starter or paddock judge), will lose their date and be assigned an R-Date corresponding to the day removed from list.
- 10. A horse, which is a scheduled starter in a cancelled race, will not receive an R-Date for that race but will retain its previous date.
- 11. The draw of stakes and handicap race is not subject to date preference.
- 12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
- 13. Date preference rules will not supersede KHRA rules regarding preference, eligibility or scratches.
- 14. Winners will be preferred in all non-maiden races.

#### **CONDITION ELIGIBILITY**

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), open stakes winner through their allowance conditions providing the horses has finished 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, or 4<sup>th</sup> for \$40,000 or more since starting for less than \$40,000. In allowance /optional claiming races, a horse's eligibility cannot exceed the condition of the race. Starter races for less than \$25,000 will be considered as claiming races for condition eligibility.

#### WINNERS ARE PREFERRED IN ALL NON-MAIDEN RACES.

#### **Also-Eligibles**

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in order of their preference.

ANDY BESHEAR GOVERNOR

KERRY B. HARVEY SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

JONATHAN RABINOWITZ CHAIRMAN

## PUBLIC PROTECTION CABINET

### KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511 TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

TO: KENTUCKY HORSE RACING COMMISSION
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FROM: Barbara Borden, Chief State Steward

DATE: June 1, 2020

RE: KEENELAND'S PROPOSED 2020 JULY RACE MEET OFFICIALS AND DATE

PREFERENCE SYSTEM

By letter sent May 28, 2020, Robert Elliston, Vice President of Racing and Sales at Keeneland, requests approval of the attached Roster of Racing Officials and Date Preference System for their 2020 July race meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION	N ACTION:
	Approved
	Deferred
	Denied





Keeneland Association, Inc.

4201 Versailles Road Lexington, KY 40510 P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4347 Fax www.keeneland.com May 28, 2020

Mr. Marc A. Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Pkwy., Bldg. B
Lexington, KY 40511

Dear Marc:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2020 July Meeting at Keeneland will be as follows:

Vice President of Racing Association Steward Racing Secretary

Director of Racing Administration Assistant Racing Secretary

Stakes Coordinator

Starter

Paddock Judge

Assistant Paddock Judge Patrol/Placing Judges

Entry Clerks

Clerk of Scales Horse Identifier Assistant Identifier

Timer

Program Coordinator Jockey's Room Custodian

Clocker Claims Clerk Outriders

Horsemen's Bookkeeper Track Superintendent Director of Wagering Director of Security Paddock Blacksmith

Announcer Stable Manager Robert N. Elliston Ronald Herbstreit David Ben Huffman Katherine McKee Tia Murphy Tiffany Bourque Scott Jordan David Wedlake

Tyler Picklesimer

James F. Ewalt, Steve Obrekaitis, Steve Penrod, Ron Tomlinson, Bailey Hare, Scott

Jones

James F. Ewalt, Steve Obrekaitis, Steve Penrod, Ron Tomlinson, Bailey Hare, Scott

Jones

Javier Torres Melinda Vest Stuart Slagle TrackMaster Jori Gorski Kenneth Borque Gary Reckner Ron Tomlinson

Scott Cole, Robin Riesonbeck, Colby Lavergne, Darrin Cain

Kristey Weisenberger

Jim Pendergest
Jim Goodman
Phil Gardner
Arthur S. Tearl
Kurt Becker
Wayne Mogge



Keeneland Association, Inc.

4201 Versailles Road Lexington, KY 40510 P.O. Box 1690 Lexington, KY 40588-1690 859 254-3412 Tel. 800 456-3412 859 288-4347 Fax www.keeneland.com Post time each race day will be 1:05pm EST with nine to ten live races.

Keeneland will not be accepting foal papers in order to lessen the spread of Covid-19 and they will not be necessary to establish a Preference Date. In over-filled races all horses will be considered equal regarding Preference Dates and there will be no zero dates and no E-dates. Horses will only establish R-dates that will correspond to the day of a horse's most recent race, a horse that scratches or comes off of a list, turf and dirt R-dates will be kept separate, an R-date will be less preferred than a horse that has no date, and this preference system will not supersede KHRC rules regarding preference, eligibility or scratches.

Keeneland will adhere to the following entry schedule:

Race Date	Entry Day
Wednesday, July 8	Sunday, July 5
Thursday, July 9	Monday, July 6
Friday, July 10	Tuesday, July 7
Saturday, July 11	Wednesday, July 8
Sunday, July 12	Thursday, July 9

Thank you for your consideration.

Robert N. Elliston

Sincerely

Vice President of Racing & Sales

ANDY BESHEAR GOVERNOR

Deferred

Denied

JONATHAN RABINOWITZ CHAIRMAN

TO:

KERRY B. HARVEY
SECRETARY

MARC A. GUILFOIL EXECUTIVE DIRECTOR

### PUBLIC PROTECTION CABINET

## KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906 4063 IRON WORKS PKWY., BLDG. B LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039 WEBSITE: http://khrc.ky.gov

KENTUCKY HORSE RACING COMMISSION





May 29, 2020

Mr. Marc Guilfoil Kentucky Horse Racing Commission Executive Director 4063 Iron Works Parkway Lexington, Kentucky 40511

#### Dear Mr. Guilfoil:

The Red Mile respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Race Officials for our upcoming meet.

Jim Grow Associate Steward
Tom Agosti Race Secretary & Associate Judge
Curby Stillings Assistant Race Secretary
Tanya Agosti Assistant Race Secretary

Starter

Greg Coon Starter
Dan Coon Starter

Mike Moss

Ted Torgerson Paddock Judge & Horse Identifier
Donna Blauser Timer & Photo Finish

Travis Blauser Timer & Photo Finish
Travis Blauser Timer & Photo Finish

Tom Agosti Charter/Program Coordinator/Clerk of Course Julie Sorrell Jones Director of Mutuels

Harvey Taylor Director of Racing Security

Great Jones Director of Security

Gregg Jones Director of Security
Eliott Walter Track Superintendent
Marion Pryor Paddock Blacksmith

As always, The Red Mile shall be in compliance with all applicable state and federal laws. Thank you for your time concerning this matter.

Sincerely,

Julie Sorrell Jones

Director of Mutuels & Simulcasting

The Red Mile

# **2020 THOROUGHBRED RULINGS**

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0024	4/10/2020	11/4/2018	Jennifer S. Patterson	Carisoprodol and metabolite Meprobamate #E301424	В	Suspended 30 days, 15 to be served April 11 through April 25, 2020 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served Fine Not Paid
20-0025	4/14/2020	1/25/2020	Abel Lezcano	Withdrew appeal of Stewards Ruling - #19-0009		Suspended 5 days April 14 through April 18 (inclusive)		Suspension served
20-0026	4/14/2020	7/6/2019	W. Bret Calhoun	Cannabidoil #E312940	В	Suspended 30 days, 15 to be served April 14 through April 23, 2020 (inclusive). (Remaining 15 days stayed pending no further medication violation in 365 days)	\$ 500	Suspension served Fine Paid
20-0027	4/14/2020	7/6/2019	Chester M. Thomas (owner)	Cannabidoil #E312940	В	Touch Blue disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0028	4/10/2020	11/4/2018	Michael Dubb, Sol Kumin, Stuart Grant, Michael J. Caruso	Carisoprodol and metabolite Meprobamate #E301424	В	Moolah Schmoolah disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0029	4/22/2020	1/3/2019	Timothy A. Hughes	Pursuant to Settlement Agreement and Stipulation (#KHRC-19-TB- 002)		Suspended 60 days, 15 to be served April 22 through June 20, 2020 (inclusive).	\$ 2,000	Current suspension Fine Not Paid

# **2020 THOROUGHBRED RULINGS**

RULING	RULING	INFRACTION		<u>zo monoccii</u>	DRUG			
NUMBER	DATE	DATE	ISSUED TO	DETERMINATION	CLASS	PENALTY OR ACTION	FINE	STATUS
20-0030	4/22/2020	1/3/2019	Timothy A. Hughes (owner)	Pursuant to Settlement Agreement and Stipulation (#KHRC-19-TB- 002)		Launch Away disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0031	4/22/2020	1/3/2019	Timothy A. Hughes (owner)	Pursuant to Settlement Agreement and Stipulation (#KHRC-19-TB- 002)		Catherine Eugenia disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0032	4/22/2020	1/5/2019	Timothy A. Hughes (owner)	Pursuant to Settlement Agreement and Stipulation (#KHRC-19-TB- 002)		Battle N Away disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0033	5/20/2020	5/4/2019	Luis Saez	Withdrew appeal of Stewards Ruling - #19-0044		Suspended 15 days May 20 through June 3, 2020 (inclusive)		Suspension served
20-0034	5/20/2020	3/2/2020	Odhair Mayta	The Thoroughbred Center formal ejection lifted		Restored to good standing		Currently licensed
20-0035	5/24/2020	`	Joshua Morales	Unable to participate for 55 days due to Governor's order to suspend racing in Kentucky		Granted 55 day extension of apprentice allowance (through April 9, 2021)		Currently licensed
20-0036	5/24/2020		Alexander Crispin	Unable to participate for 55 days due to Governor's order to suspend racing in Kentucky		Granted 55 day extension of apprentice allowance (through April 25, 2021)		
20-0037	5/29/2020	5/20/2020	Robert E. McFarlin	Falsification of license application		Suspended pending appearance before the Board of Stewards		License suspended

# **2020 THOROUGHBRED RULINGS**

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0038	6/3/2020	5/30/2020	Javier Castellano	Careless riding		Suspended 4 days June 18, June 19, June 21 and June 25, 2020		Suspension to be served
20-0039	6/4/2020	5/20/2020	Robert E. McFarlin	Appeared before the Board of Stewards		Suspension lifted and license rescinded		Discontinuance of the activity for which the license was issued
20-0040	6/5/2020	5/31/2020	Brian J. Hernandez, Jr.	Careless riding		Suspended 3 days June 12 through June 14, 2020 (inclusive)		APPEALED

## 2020 TB RULINGS LIST BY CATEGORY (Through June 5, 2020)

## **MEDICATION VIOLATIONS**

Medication Class	<u>Total</u>	<u>Fines</u>	Suspensions	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	0	0	0	0	0	
Class B	3	3	3	3	0	Gabapentin, Carisoprodol and metabolite Meprobamate, Cannabidiol
Class C	4	3	0	3	0	Naproxen, Dexamethasone, Ketoprofen (warning letter)
Class D	1	1	0	0	0	Omeprazole
NSAIDS	2	2	0	0	0	Phenylbutazone
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	10	_ (total \$3,850)				
NON-MEDICATION VIOLATION	<u>NS</u>					
Jockey Violations						
Careless Riding	5	0	5	0	1	Routine riding offenses
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	0	0 (total \$0)	0	0	0	
Subtotal	5	(total \$0)				
Other Licensee Violations						
Other Licensee Violations	4	0	4	0	0	
Misc. violations by licensees	1	0	1	0	0	Falsification of license application
Disorderly conduct and formal ejections	1	0	1	0	0	Formal ejection from TTC
Suspended for	0	0	0	0	0	
non payment of fines		(total \$0)				
Subtotal	2					
						The remaining 23 rulings that have been issued in 2020 are for information only and
						include purse redistributions and penalty applied after withdrawal of appeal, restored to good standing, Court Order affirming KHRC Final Order, Settlement Agreement and
Other Rulings Issued	23	0	0	0	0	Stipulation, extension of apprentice allowance granted.
TOTAL 2020 RULINGS	40	\$3,850	• •			

# **2020 STANDARDBRED RULINGS**

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	PAID	Suspension (days)	COMMENTS
J2020-001	3/14/2020	3/9/2020	Nick Surick	License revoked in PA, OH & DE			license revoked			
J2020-002	5/12/2020	7/2/2019	Harley Emerson	Medication / Drug Violation - Flunixin	С		suspended		5/11/20 - 5/20/2020	

# Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category  Non-musculoskeletal:  EIPH, Sudden Death,  Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37		1.60
2007	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1	23,180	0.04
	TOTAL	2	1	5	2	4	3	4	2	2	4	4	5	38	1	1.64
														•	•	
	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
2008	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	22,278	0.00
	TOTAL	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33		1.64
2009	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	20,142	0.00
	TOTAL	3	5	1	2	4	1	2	4	3	3	2	3	33	Ī	1.64
		-	•	•	•	•	•		•	•	•	•	•		•	
	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25		1.38
2010	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2	18,167	0.11
	TOTAL	2	1	3	1	0	2	4	2	1	3	7	1	27		1.49
				-	•									•	•	
	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28		1.53
2011	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1	18,258	0.05
	TOTAL	2	2	1	1	2	5	1	3	3	5	3	1	29	1	1.59
		•	•	•	•	8			•		•			•	•	
	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27		1.54
2012	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1	17,513	0.06
	TOTAL	0	0	1	2	9	2	0	2	0	4	5	3	28	1	1.60

Year	Category  Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22		1.35
2013	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1	16,242	0.06
	TOTAL	2	2	0	0	1	2	1	5	4	1	1	3	23		1.42
	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	<u> </u> .	1.11
2014	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0	14,460	0.00
	TOTAL	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
								_	_	_						
	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	<u> </u>	1.35
2015	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2	15,514	0.13
	TOTAL	3	1	1	0	3	3	1	2	3	3	3	1	23		1.48
	-	T	ı	1	1	•	ı	ı				1	1	1		
	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	_	1.39
2016	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3	16,495	0.18
	TOTAL	0	2	0	4	4	5	2	0	1	2	5	1	26		1.58
		T	1	ī	ī	1	1	1			1	ī	ī			
	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18		1.20
2017	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2	15,032	0.13
	TOTAL	1	2	1	2	1	5	0	1	2	3	2	0	20		1.33
	<b>7</b>	1 -												I 66	F	2.42
	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	ļ . <b></b>	2.13 0.27
2018	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4	15,049	
	TOTAL	2	1	1	4	4	1	6	1	7	1	4	4	36	<u> </u>	2.39
	NAlaskalatal	Ι ο	1 1	1	1	1 1	<u> Г</u>	I 0					2	10	<u> </u>	1 22
2010	Musculoskeletal Non-musculoskeletal	0	0	0	4 0	0	0 2	0	1	0	5 0	2 0	3 0	19 3	15 450	1.23 0.19
2019	TOTAL	0	1	1	4	1	2	0	2	1	5	2	3	22	15,456	1.42
	IUIAL	U	I	1	4	1		U		1			3			1.72

Year	Category  Non-musculoskeletal:  EIPH, Sudden Death,  Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
	Musculoskeletal	1	0	1	0	1								3		0.74
2020	Non-musculoskeletal	0	0	3	0	0								3	4,080	0.74
	TOTAL	1	0	4	0	1								6		1.47

#### Kentucky Pari-Mutuel Excise Tax and BIF by Source, FYTD 2020 May-2020

											iviay	-2020											
Racetrack/ADW	D	Thoroughl Developmen		Standardl Developmer		Devel	Other opment und	Equine Inc Progra		Equine D Progra	•	Higher Ed Progr		Back Improv		ADW Host Allocati		ADW Pu Allocat		Kentucky Ge Fund	eneral	Total Excise FYTD	
	F	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total
Live	\$	275,110	1.7%	\$ 16,039	0.9%			\$ 75,930	9.9% \$	37,965	8.8%	\$ 37,965	8.8%	\$ 183,406	100.0%					\$ 129,668	0.9%	5 756,083	2.2%
Bluegrass Downs Churchill Downs Ellis Park Keeneland Kentucky Downs Oak Grove	\$ \$ \$ \$	81,124 29,592 129,906 12,256	0.5% 0.2% 0.8% 0.1%	\$ 74	0.0%			\$ 12 \$ 21,633 \$ 7,891 \$ 34,642 \$ 3,268 \$ 85	0.0% \$ 2.8% \$ 1.0% \$ 4.5% \$ 0.4% \$ 0.0% \$	6 10,817 3,946 17,321 1,634 42	2.5% 0.9% 4.0% 0.4%	. ,	0.0% 2.5% 0.9% 4.0% 0.4% 0.0%	\$ 54,083 \$ 19,728 \$ 86,604 \$ 8,170	29.5% 10.8% 47.2% 4.5%					\$ 6 \$ 37,858 \$ 13,810 \$ 60,623 \$ 5,719 \$ 42	0.3% 0.1% 0.4% 0.0%	104 216,332 78,913 346,417 32,681	0.0% 0.6% 0.2% 1.0% 0.1% 0.0%
The Red Mile Turfway Park	\$	22,232	0.1%	\$ 15,435	0.9%			\$ 2,470 \$ 5,929	0.3% \$ 0.8% \$	1,235 2,964		\$ 1,235	0.3%	\$ 14,821	8.1%					\$ 1,235 \$ 10,375	0.0%	21,610 5 59,285	0.1% 0.2%
Simulcast	\$ 1,	,447,871	9.1%	\$ 35,078	2.1%	\$ 6,969	100%	\$ 37,247	4.9% \$	74,496	17.2%	\$ 74,496	17.2%							\$ 558,721	3.8%	\$ 2,234,878	6.4%
Bluegrass Downs Churchill Downs Ellis Park Keeneland Kentucky Downs Oak Grove The Red Mile Turfway Park	\$ \$ \$	398,296 196,838 482,104 158,599 212,034	2.5% 1.2% 3.0% 1.0%	\$ 16,759 \$ 10,668	0.1% 1.0% 0.6% 0.3%	\$ 1,799 \$ 16 \$ 1,065 \$ 3,268 \$ 821	25.8% 0.2% 15.3% 46.9%	\$ 5,340 \$ 12,079 \$ 4,313	1.3% \$ 0.7% \$ 1.6% \$ 0.6% \$	10,681 24,158 8,627	5.6%	\$ 10,681 \$ 24,158 \$ 8,627	4.7% 2.5% 5.6% 2.0%							\$ 150,936 \$ 80,105 \$ 181,189 \$ 64,701 \$ 81,790	0.6% 1.2% 0.4%	6 603,743 6 320,420 724,753 258,803 6 327,159	1.7% 0.9% 2.1% 0.7%
ADW																\$ 513.857	100.0%	\$ 513,857	100.0%	\$ 181,361	1.2%	\$ 1,209,075	3.5%
Amwest eBet Lien Games NYRA TVG TwinSpires Watch and Wager XpressBet																\$ 1,523 \$ 1,508 \$ 402 \$ 11,751 \$ 174,392 \$ 264,937 \$ 766 \$ 58,578	0.3% 0.3% 0.1% 2.3% 33.9% 51.6% 0.1% 11.4%	\$ 1,523	0.3% 0.3% 0.1% 2.3% 33.9% 51.6% 0.1% 11.4%	\$ 538 \$ 531 \$ 142 \$ 4,148 \$ 61,550 \$ 93,507 \$ 271	0.0% : 0.0% : 0.0% : 0.0% : 0.0% : 0.6% : 0.6% :	, ,	0.0% 0.0% 0.0% 0.1% 1.2% 1.8% 0.0% 0.4%
HHR	\$ 14.	,113,007	89.1%	\$ 1,656,296	97.0%			\$ 650,000	85.2% \$	320,000	74.0%	\$ 320,000	74.0%							\$ 13,651,154	94.0%	\$ 30,710,457	88.0%
Derby City Gaming Ellis Park Keeneland/Red Mile Kentucky Downs	\$ 6, \$ \$ 1, \$ 5,	,501,113 652,210 ,242,222 ,717,462	41.1% 4.1% 7.8% 36.1%	\$ 1,656,296	97.0%	¢ c 000	100%	\$ 271,057 \$ 28,250 \$ 93,004 \$ 257,689	35.5% \$ 3.7% \$ 12.2% \$ 33.8% \$	133,443 13,908 45,787 126,862	30.9% 3.2% 10.6% 29.3%	\$ 133,443 \$ 13,908 \$ 45,787 \$ 126,862	30.9% 3.2% 10.6% 29.3%	¢ 102 100	100 004	Ć 542.057	100.0%	Ć 542.057	100.004	\$ 5,963,169 \$ 596,145 \$ 1,885,792 \$ 5,206,048	41.1% : 4.1% : 13.0% : 35.9% :	\$ 13,002,225 \$ 1,304,421 \$ 4,968,888 \$ 11,434,923	37.2% 3.7% 14.2% 32.8%
Grand Total	\$ 15,	,835,988	100.0%	\$ 1,707,413	100.0%	\$ 6,969	100%	\$ 763,177	100.0% \$	432,461	100.0%	\$ 432,461	100.0%	\$ 183,406	100.0%	\$ 513,857	100.0%	\$ 513,857	100.0%	\$ 14,520,904	100.0%	34,910,493	100.0%



#### Kentucky Live and Simulcast Wagering Report May 2020

		Live Racing					
	 May-20	 May-19	% Change		FYTD - 2020	FYTD - 2019	% Change
Race Dates	11	19	-42.1%		200	209	-4.3%
On Track Handle	\$ -	\$ 40,623,094	-100.0%	\$	37,964,470	\$ 95,626,060	-60.3%
Less: Return to the Public	\$ -	\$ 32,485,772	-100.0%	\$	30,512,770	\$ 76,699,880	-60.2%
Less: Breakage	\$ -	\$ 211,560	-100.0%	\$	236,944	\$ 588,579	-59.7%
Gross Commission	\$ -	\$ 7,925,762	-100.0%	\$	7,214,755	\$ 18,337,601	-60.7%
TB Development Fund	\$ -	\$ 304,673	-100.0%	\$	275,110	\$ 706,778	-61.1%
SB Development Fund	\$ -	\$ -	#DIV/0!	\$	16,039	\$ 17,362	-7.6%
Equine Industry Program	\$ -	\$ 81,246	-100.0%	\$	75,929	\$ 191,252	-60.3%
Equine Drug Research	\$ -	\$ 40,623	-100.0%	\$	37,964	\$ 95,626	-60.3%
Higher Education Fund	\$ -	\$ 40,623	-100.0%	\$	37,964	\$ 95,626	-60.3%
Ky General Fund	\$ -	\$ 142,181	-100.0%	\$	129,668	\$ 331,219	-60.9%
Total Kentucky Excise Tax	\$ -	\$ 609,346	-100.0%	\$	572,675	\$ 1,437,863	-60.2%
Backside Improvement Fund	\$ -	\$ 203,115	-100.0%	\$	183,407	\$ 471,185	-61.1%
Net Commission	\$ -	\$ 7,113,301	-100.0%	\$	6,458,674	\$ 16,428,552	-60.7%
Off Track Handle From:							
Other Kentucky Tracks	\$ -	\$ 6,838,382	-100.0%	\$	8,632,767	\$ 17,455,672	-50.5%
Kentucky ADW's	\$ 12,005,229	\$ 30,808,455	-61.0%	\$	45,102,590	\$ 66,746,731	-32.4%
Other Sources	\$ 118,362,500	\$ 318,070,014	-62.8%	\$	556,608,926	\$ 835,173,444	-33.4%
(Non-Ky Tracks, OTB's, Other ADW's)							
All Sources Handle	\$ 130,367,729	\$ 396,339,945	-67.1%	\$	648,308,753	\$ 1,015,001,907	-36.1%
Average Daily Handle - On Track	\$ -	\$ 2,138,058	-100.0%	\$	189,822	\$ 457,541	-58.5%
Average Daily Handle - All Sources	\$ 11,851,612	\$ 20,859,997	-43.2%	\$	3,241,544	\$ 4,856,468	-33.3%
		Simulcast					
Handle by Breed							
Thoroughbred	\$ _	\$ 15,316,547	-100.0%	\$	73,033,141	\$ 108,652,361	-32.8%
Standardbred	\$ -	\$ 2,091,463	-100.0%	\$	16,322,510	\$ 20,270,656	-19.5%
Qtr/Arabian	\$ 53,034	\$ 85,645	-38.1%	\$	491,018	\$ 815,171	-39.8%
Total Handle	\$ 53,034	\$ 17,493,656	-99.7%	\$	89,846,669	\$ 129,738,188	-30.7%
TB Development Fund	\$ -	\$ 295,707	-100.0%	\$	1,447,871	\$ 2,103,218	-31.2%
SB Development Fund	\$ -	\$ 5,396	-100.0%	, \$	35,078	\$ 50,430	-30.4%
QH Development Fund	\$ -	\$ 1,163	-100.0%	\$	6,970	\$ 12,780	-45.5%
Equine Industry Program*	\$ -	\$ 7,557	-100.0%	\$	37,248	\$ 54,161	-31.2%
Equine Drug Research	\$ -	\$ 15,113	-100.0%	\$	74,496	\$ 108,321	-31.2%
Higher Education Fund	\$ -	\$ 15,113	-100.0%	\$	74,496	\$ 108,321	-31.2%
Ky General Fund	\$ -	\$ 113,349	-100.0%	\$	558,720	\$ 812,411	-31.2%
Total Kentucky Excise Tax	\$ -	\$ 453,397	-100.0%	\$	2,234,878	\$ 3,249,642	-31.2%

<sup>\*</sup> Rate is .0005 for Simulcast only

#### **Monthly Track Report - Bluegrass Downs**

May 2020 Live Racing

				Live Racing						
	F6	eb-20		May-19	% Change	FY	TD - 2020	F	YTD - 2019	% Change
Race Dates		0		0	#DIV/0!		6		0	#DIV/0!
On Track Handle	\$	-	\$	-	#DIV/0!	\$	5,930	\$	-	#DIV/0!
Less: Return to the Public	\$	_	\$	-	#DIV/0!	\$	4,659	\$	-	#DIV/0!
Less: Breakage	\$	_	\$	-	#DIV/0!	\$	43	\$	-	#DIV/0!
Gross Commission	\$	-	\$	-	#DIV/0!	\$	1,228	\$	-	#DIV/0
SB Development Fund	\$	-	\$	-	#DIV/0!	\$	74	\$	-	#DIV/0
Equine Industry Program	\$	-	\$	-	#DIV/0!	\$	12	\$	-	#DIV/0
Equine Drug Research	\$	-	\$	-	#DIV/0!	\$	6	\$	-	#DIV/0
Higher Education Fund	\$	-	\$	_	#DIV/0!	\$	6	\$	_	#DIV/0
Ky General Fund	\$	-	\$	-	#DIV/0!	\$	6	\$	-	#DIV/0
otal Kentucky Excise Tax (1.75%)	\$	<u>-</u>	\$	<u>-</u>	#DIV/0!	\$	104	\$	-	#DIV/0!
Net Commission	\$	-	\$	-	#DIV/0!	\$	1,124	\$	-	#DIV/0!
Off Track Handle From:										
Other Kentucky Tracks	\$	-	\$	-		\$	_	\$	-	
Kentucky ADW's	\$	_	\$	-		\$	_	\$	-	
Other Sources	\$	_	\$	_		\$	_	\$	_	
(Non-Ky Tracks, OTB's, Other ADW's)			,					,		
Il Sources Handle	\$	-	\$	-	#DIV/0!	\$	5,930	\$	-	#DIV/0!
Average Daily Handle - On Track					#DIV/0!	\$	988			#DIV/0
Average Daily Handle - All Sources					#DIV/0!	\$	988			#DIV/0
				Simulcast						
Handle by Breed										
Thoroughbred	\$	-	\$	531,219	-100.0%	\$	639,580	\$	3,491,437	-81.7%
Standardbred	\$	-	\$	11,322	-100.0%	\$	24,049	\$	80,475	-70.1%
Qtr/Arabian	\$	-	\$\$	437	-100.0%	\$	1,243	\$	10,418	-88.1%
Total Handle	\$	-	\$	542,977	-100.0%	\$	664,872	\$	3,582,330	-81.4%
TB Development Fund										
SB Development Fund										
QH Development Fund					ONLY TAXED	ON LIVE RACI	NG			
Equine Industry Program										
Equine Drug Research										
Higher Education Fund										
Ky General Fund										
Total Kentucky Excise Tax										

## **Monthly Track Report - Churchill Downs**

		Live Racing				
	 May-20	 May-19	% Change	 FYTD - 2020	 FYTD - 2019	% Change
Race Dates	11	19	-42.1%	47	51	-7.8%
On Track Handle	\$ -	\$ 40,623,094	-100.0%	\$ 10,816,547	\$ 52,725,153	-79.5%
Less: Return to the Public	\$ -	\$ 32,485,772	-100.0%	\$ 8,629,523	\$ 42,125,873	-79.5%
Less: Breakage	\$ 	\$ 211,560	-100.0%	\$ 69,730	\$ 289,802	-75.9%
Gross Commission	\$ -	\$ 7,925,762	-100.0%	\$ 2,117,294	\$ 10,309,478	-79.5%
TB Development Fund	\$ -	\$ 304,673	-100.0%	\$ 81,124	\$ 395,439	-79.5%
Equine Industry Program	\$ -	\$ 81,246	-100.0%	\$ 21,633	\$ 105,450	-79.5%
Equine Drug Research	\$ -	\$ 40,623	-100.0%	\$ 10,817	\$ 52,725	-79.5%
Higher Education Fund	\$ -	\$ 40,623	-100.0%	\$ 10,817	\$ 52,725	-79.5%
Ky General Fund	\$ -	\$ 142,181	-100.0%	\$ 37,858	\$ 184,538	-79.5%
Total Kentucky Excise Tax (1.5%)	\$ -	\$ 609,346	-100.0%	\$ 162,248	\$ 790,877	-79.5%
Backside Improvement Fund	\$ -	\$ 203,115	-100.0%	\$ 54,083	\$ 263,626	-79.5%
Net Commission	\$ -	\$ 7,113,301	-100.0%	\$ 1,900,963	\$ 9,254,975	-79.5%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ 6,838,382	-100.0%	\$ 2,812,522	\$ 9,287,017	-69.7%
Kentucky ADW's	\$ 12,005,229	\$ 30,808,455	-61.0%	\$ 24,859,677	\$ 41,675,211	-40.3%
Other Sources	\$ 118,362,500	\$ 318,070,014	-62.8%	\$ 270,795,732	\$ 455,329,337	-40.5%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	\$ 130,367,729	\$ 396,339,945	-67.1%	\$ 309,284,478	\$ 559,016,718	-44.7%
Average Daily Handle - On Track	\$ -	\$ 2,138,058	-100.0%	\$ 230,139	\$ 1,033,827	-77.7%
Average Daily Handle - All Sources	\$ 11,851,612	\$ 20,859,997	-43.2%	\$ 6,580,521	\$ 10,961,112	-40.0%
		Simulcast				
Handle by Breed						
Thoroughbred	\$ -	\$ 2,294,122	-100.0%	\$ 19,914,812	\$ 26,911,239	-26.0%
Standardbred	\$ -	\$ -	#DIV/0!	\$ 120,009	\$ 127,455	-5.8%
Qtr/Arabian	\$ 	\$ 4,525	-100.0%	\$ 89,972	\$ 192,358	-53.2%
Total Handle	\$ 	\$ 2,298,647	-100.0%	\$ 20,124,792	\$ 27,231,052	-26.1%
TB Development Fund	\$ -	\$ 45,882	-100.0%	\$ 398,296	\$ 538,225	-26.0%
SB Development Fund	\$ -	\$ -	#DIV/0!	\$ 2,400	\$ 2,549	-5.8%
QH Development Fund	\$ -	\$ 91	-100.0%	\$ 1,799	\$ 3,847	-53.2%
Equine Industry Program*	\$ -	\$ 1,149	-100.0%	\$ 10,062	\$ 13,616	-26.1%
Equine Drug Research	\$ -	\$ 2,299	-100.0%	\$ 20,125	\$ 27,231	-26.1%
Higher Education Fund	\$ -	\$ 2,299	-100.0%	\$ 20,125	\$ 27,231	-26.1%
Ky General Fund	\$ <u> </u>	\$ 17,240	-100.0%	\$ 150,936	\$ 204,233	-26.1%
Total Kentucky Excise Tax (3%)	\$ -	\$ 68,959	-100.0%	\$ 603,744	\$ 816,932	-26.1%

<sup>\*</sup> Rate is .0005 for Simulcast only

#### **Monthly Track Report - Ellis Park**

			Live Racing					
	M	ay-20	May-19	% Change	 -YTD - 2020	F	YTD - 2019	% Change
Race Dates		0	0	#DIV/0!	26		29	-10.3%
On Track Handle	\$	-	\$ -	#DIV/0!	\$ 3,945,581	\$	4,130,485	-4.5%
Less: Return to the Public	\$	-	\$ -	#DIV/0!	\$ 3,137,478	\$	3,283,472	-4.4%
Less: Breakage	\$		\$ 	#DIV/0!	\$ 30,803	\$	32,897	-6.4%
Gross Commission	\$	-	\$ -	#DIV/0!	\$ 777,300	\$	814,116	-4.5%
TB Development Fund	\$	-	\$ -	#DIV/0!	\$ 29,592	\$	30,979	-4.5%
Equine Industry Program	\$	-	\$ -	#DIV/0!	\$ 7,891	\$	8,261	-4.5%
Equine Drug Research	\$	-	\$ -	#DIV/0!	\$ 3,946	\$	4,130	-4.5%
Higher Education Fund	\$	-	\$ -	#DIV/0!	\$ 3,946	\$	4,130	-4.5%
Ky General Fund	\$	-	\$ -	#DIV/0!	\$ 13,810	\$	14,457	-4.5%
Total Kentucky Excise Tax (1.5%)	\$	-	\$ 	#DIV/0!	\$ 59,184	\$	61,957	-4.5%
Backside Improvement Fund	\$	-	\$ -	#DIV/0!	\$ 19,728	\$	20,652	-4.5%
Net Commission	\$	-	\$ -	#DIV/0!	\$ 698,389	\$	731,506	-4.5%
Off Track Handle From:								
Other Kentucky Tracks	\$	-	\$ -	#DIV/0!	\$ 1,538,256	\$	1,593,040	-3.4%
Kentucky ADW's	\$	-	\$ -	#DIV/0!	\$ 2,641,942	\$	2,231,809	18.4%
Other Sources	\$	-	\$ -	#DIV/0!	\$ 37,736,553	\$	31,118,533	21.3%
(Non-Ky Tracks, OTB's, Other ADW's)								
All Sources Handle	\$	-	\$ -	#DIV/0!	\$ 45,862,332	\$	39,073,867	17.4%
Average Daily Handle - On Track	\$	-	\$ -	#DIV/0!	\$ 151,753	\$	142,431	6.5%
Average Daily Handle - All Sources	\$	-	\$ -	#DIV/0!	\$ 1,763,936	\$	1,347,375	30.9%
			Simulcast					
Handle by Breed								
Thoroughbred	\$	_	\$ 1,970,305	-100.0%	\$ 9,841,876	\$	14,258,642	-31.0%
Standardbred	\$	-	\$ 138,521	-100.0%	\$ 837,951	\$	1,254,582	-33.2%
Qtr/Arabian	\$	-	\$ 160	-100.0%	\$ 796	\$	2,823	-71.8%
Total Handle	\$	-	\$ 2,108,986	-100.0%	\$ 10,680,623	\$	15,516,046	-31.2%
TB Development Fund	\$	-	\$ 39,406	-100.0%	\$ 196,838	\$	285,173	-31.0%
SB Development Fund	\$	-	\$ 2,770	-100.0%	\$ 16,759	\$	25,092	-33.2%
QH Development Fund	\$	-	\$ 3	-100.0%	\$ 16	\$	56	-71.8%
Equine Industry Program*	\$	-	\$ 1,054	-100.0%	\$ 5,340	\$	7,758	-31.2%
Equine Drug Research	\$	-	\$ 2,109	-100.0%	\$ 10,681	\$	15,516	-31.2%
Higher Education Fund	\$	-	\$ 2,109	-100.0%	\$ 10,681	\$	15,516	-31.2%
Ky General Fund	\$	-	\$ 15,817	-100.0%	\$ 80,105	\$	116,370	-31.2%
Total Kentucky Excise Tax (3%)	\$		\$ 		\$ 	\$		

<sup>\*</sup> Rate is .0005 for Simulcast only

#### **Monthly Track Report - Keeneland**

			Live Racing						
	M	ay-20	May-19	% Change		FYTD - 2020	_	FYTD - 2019	% Change
Race Dates		0	0	#DIV/0!		17		33	-48.5%
On Track Handle	\$	-	\$ -	#DIV/0!	\$	17,320,852	\$	32,910,507	-47.4%
Less: Return to the Public	\$	-	\$ -	#DIV/0!	\$	14,044,361	\$	26,638,180	-47.3%
Less: Breakage	\$	-	\$ -	#DIV/0!	_\$_	228,699	\$	228,699	0.0%
Gross Commission	\$	-	\$ 	#DIV/0!	\$	3,047,792	\$	6,043,628	-49.6%
TB Development Fund	\$	-	\$ -	#DIV/0!	\$	129,906	\$	246,829	-47.4%
Equine Industry Program	\$	-	\$ -	#DIV/0!	\$	34,642	\$	65,821	-47.4%
Equine Drug Research	\$	-	\$ -	#DIV/0!	\$	17,321	\$	32,911	-47.4%
Higher Education Fund	\$	-	\$ -	#DIV/0!	\$	17,321	\$	32,911	-47.4%
Ky General Fund	\$	-	\$ -	#DIV/0!	\$	60,623	\$	115,187	-47.4%
Total Kentucky Excise Tax (1.5%)	\$		\$ -	#DIV/0!	\$	259,813	\$	493,658	-47.4%
Backside Improvement Fund	\$	-	\$ -	#DIV/0!	\$	86,604	\$	164,553	-47.4%
Net Commission	\$	-	\$ -	#DIV/0!	\$	2,701,375	\$	5,385,418	-49.8%
Off Track Handle From:									
Other Kentucky Tracks	\$	-	\$ -	#DIV/0!	\$	1,759,919	\$	4,226,151	-58.4%
Kentucky ADW's	\$	-	\$ -	#DIV/0!	\$	10,108,626	\$	17,771,966	-43.1%
Other Sources	\$	-	\$ -	#DIV/0!	\$	131,018,519	\$	242,518,607	-46.0%
(Non-Ky Tracks, OTB's, Other ADW's)									
All Sources Handle	\$	-	\$ -	#DIV/0!	\$	160,207,916	\$	297,427,231	-46.1%
Average Daily Handle - On Track	\$	_	\$ _	#DIV/0!	\$	1,018,874	\$	997,288	2.2%
Average Daily Handle - All Sources	\$	-	\$ -	#DIV/0!	\$	9,423,995	\$	9,012,946	4.6%
			Simulcast						
Handle by Breed									
Thoroughbred Standardbred	\$	-	\$ 6,348,455	-100.0%	\$	24,105,210	\$	35,861,443	-32.8%
Qtr/Arabian	\$	-	\$ 17,652	-100.0%	\$	53,259	\$	127,368	-58.2%
Total Handle	\$	-	\$ 6,366,107	-100.0%	\$	24,158,469	\$	35,988,811	-32.9%
TB Development Fund SB Development Fund	\$	-	\$ 126,969	-100.0%	\$	482,104	\$	717,229	-32.8%
QH Development Fund	\$	-	\$ 353	-100.0%	\$	1,065	\$	2,547	-58.2%
Equine Industry Program*	\$	-	\$ 3,183	-100.0%	\$	12,079	\$	17,994	-32.9%
Equine Drug Research	, \$	-	\$ 6,366	-100.0%	\$	24,158	\$	35,989	-32.9%
Higher Education Fund	\$	_	\$ 6,366	-100.0%	\$	24,158	\$	35,989	-32.9%
Ky General Fund	\$	-	\$ 47,746	-100.0%	\$	181,189	\$	269,916	-32.9%
Total Kentucky Excise Tax (3%)	\$	-	\$ 190,983	-100.0%	\$	724,754	\$	1,079,664	-32.9%
	<u> </u>		 		<u> </u>	,,	<u> </u>	_,_,_,	22.073

<sup>\*</sup> Rate is .0005 for Simulcast only

#### **Monthly Track Report - Kentucky Downs**

May 2020 Live Racing

			Live Racing					
	M	ay-20	 May-19	% Change	F	-YTD - 2020	 YTD - 2019	% Change
Race Dates		0	0	#DIV/0!		5	5	0.0%
On Track Handle	\$	-	\$ -	#DIV/0!	\$	1,634,072	\$ 1,118,276	46.1%
Less: Return to the Public	\$	-	\$ -	#DIV/0!	\$	1,342,661	\$ 918,080	46.2%
Less: Breakage	\$	-	\$ -	#DIV/0!	\$	9,723	\$ 7,285	33.5%
Gross Commission	\$	-	\$ -	#DIV/0!	\$	281,688	\$ 192,912	46.0%
TB Development Fund	\$	-	\$ -	#DIV/0!	\$	12,256	\$ 8,387	46.1%
Equine Industry Program	\$	-	\$ -	#DIV/0!	\$	3,268	\$ 2,237	46.1%
Equine Drug Research	\$	-	\$ -	#DIV/0!	\$	1,634	\$ 1,118	46.1%
Higher Education Fund	\$	-	\$ -	#DIV/0!	\$	1,634	\$ 1,118	46.1%
Ky General Fund	\$	-	\$ -	#DIV/0!	\$	5,719	\$ 3,914	46.1%
Total Kentucky Excise Tax (1.5%)	\$	-	\$ -	#DIV/0!	\$	24,511	\$ 16,774	46.1%
Backside Improvement Fund	\$	-	\$ -	#DIV/0!	\$	8,170	\$ 5,591	46.1%
Net Commission	\$	-	\$ -	#DIV/0!	\$	249,006	\$ 170,547	46.0%
Off Track Handle From:								
Other Kentucky Tracks	\$	-	\$ -	#DIV/0!	\$	746,220	\$ 654,322	14.0%
Kentucky ADW's	\$	-	\$ -	#DIV/0!	\$	1,843,305	\$ 1,577,862	16.8%
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$	-	\$ -	#DIV/0!	\$	37,016,011	\$ 33,084,045	11.9%
All Sources Handle	\$	-	\$ -	#DIV/0!	\$	41,239,608	\$ 36,434,505	13.2%
Average Daily Handle - On Track	\$	_	\$ -	#DIV/0!	\$	326,814	\$ 223,655	46.1%
Average Daily Handle - All Sources	\$	-	\$ -	#DIV/0!	\$	8,247,922	\$ 7,286,901	13.2%
			Simulcast					
Handle by Breed								
Thoroughbred	\$	-	\$ 1,652,196	-100.0%	\$	7,929,952	\$ 10,445,468	-24.1%
Standardbred	\$	-	\$ 82,503	-100.0%	\$	533,416	\$ 693,185	-23.0%
Qtr/Arabian	\$	-	\$ 28,962	-100.0%	\$	163,410	\$ 227,053	-28.0%
Total Handle	\$	-	\$ 1,763,662	-100.0%	\$	8,626,777	\$ 11,365,706	-24.1%
TB Development Fund	\$	-	\$ 33,044	-100.0%	\$	158,599	\$ 208,909	-24.1%
SB Development Fund	\$	-	\$ 1,650	-100.0%	\$	10,668	\$ 13,864	-23.0%
QH Development Fund	\$	-	\$ 579	-100.0%	\$	3,268	\$ 4,541	-28.0%
Equine Industry Program*	\$	-	\$ 882	-100.0%	\$	4,313	\$ 5,683	-24.1%
Equine Drug Research	\$	-	\$ 1,764	-100.0%	\$	8,627	\$ 11,366	-24.1%
Higher Education Fund	\$	-	\$ 1,764	-100.0%	\$	8,627	\$ 11,366	-24.1%
Ky General Fund	\$	-	\$ 13,227	-100.0%	\$	64,701	\$ 85,243	-24.1%
Total Kentucky Excise Tax (3%)	\$		\$		\$		\$	

<sup>\*</sup> Rate is .0005 for Simulcast only

#### **Monthly Track Report - Oak Grove**

May 2020 Live Racing

				Live Racing						
	M	lay-20	M	lay-19	% Change	FY	TD - 2020	FYT	D - 2019	% Change
Race Dates		0		0	#DIV/0!		11		0	#DIV/0!
On Track Handle	\$	-	\$	-	#DIV/0!	\$	42,408	\$	-	#DIV/0!
Less: Return to the Public	\$	-	\$	-	#DIV/0!	\$	33,069	\$	-	#DIV/0!
Less: Breakage	\$	-	\$	-	#DIV/0!	\$	369	\$	-	#DIV/0
Gross Commission	\$	-	\$	-	#DIV/0!	\$	8,970	\$	-	#DIV/0
SB Development Fund	\$	-	\$	-	#DIV/0!	\$	530	\$	-	#DIV/0
Equine Industry Program	\$	-	\$	-	#DIV/0!	\$	85	\$	-	#DIV/0
Equine Drug Research	\$	-	\$	-	#DIV/0!	\$	42	\$	-	#DIV/0
Higher Education Fund	\$	-	\$	-	#DIV/0!	\$	42	\$	-	#DIV/0
Ky General Fund	\$	-	\$	-	#DIV/0!	\$	42	\$	-	#DIV/0
otal Kentucky Excise Tax (1.75%)	\$	-	\$	-	#DIV/0!	\$	742	\$	-	#DIV/0
let Commission	\$	-	\$	-	#DIV/0!	\$	8,228	\$	-	#DIV/0
Off Track Handle From:										
Other Kentucky Tracks	\$	-	\$	-	#DIV/0!	\$	3,729	\$	-	#DIV/0
Kentucky ADW's	\$	-	\$	-	#DIV/0!	\$	6,596	\$	-	#DIV/0
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$	-	\$	-	#DIV/0!	\$	19,762	\$	-	#DIV/0
All Sources Handle	\$	-	\$	-	#DIV/0!	\$	72,495	\$	-	#DIV/0!
Average Daily Handle - On Track Average Daily Handle - All Sources					#DIV/0! #DIV/0!	\$ \$	3,855 6,590			#DIV/0
				Simulcast						
Handle by Breed										
Thoroughbred	\$	-	\$	-	#DIV/0!	\$	-	\$	-	#DIV/0
Standardbred	\$	-	\$	-	#DIV/0!	\$	-	\$	-	#DIV/0
Qtr/Arabian	\$	-	\$	-	#DIV/0!	\$	-	\$	-	#DIV/0
Total Handle	\$	-	\$		#DIV/0!	\$	-	\$	-	#DIV/0
TB Development Fund SB Development Fund QH Development Fund Equine Industry Program Equine Drug Research Higher Education Fund					ONLY TAXED (	ON LIVE RACIN	NG			
Ky General Fund										
Total Kentucky Excise Tax										
•										

## Monthly Track Report - The Red Mile

		Live Racing					
	 May-20	 May-19	% Change	F	YTD - 2020	 -YTD - 2019	% Change
Race Dates	0	0	#DIV/0!		35	35	0.0%
On Track Handle	\$ -	\$ -	#DIV/0!	\$	1,234,783	\$ 1,388,990	-11.1%
Less: Return to the Public	\$ -	\$ -	#DIV/0!	\$	951,977	\$ 1,065,834	-10.7%
Less: Breakage	\$ -	\$ 	#DIV/0!	\$	1,215	\$ 5,960	-79.6%
Gross Commission	\$ -	\$ -	#DIV/0!	\$	281,590	\$ 317,197	-11.2%
SB Development Fund	\$ -	\$ -	#DIV/0!	\$	15,435	\$ 17,362	-11.1%
Equine Industry Program	\$ -	\$ -	#DIV/0!	\$	2,470	\$ 2,778	-11.1%
Equine Drug Research	\$ -	\$ -	#DIV/0!	\$	1,235	\$ 1,389	-11.1%
Higher Education Fund	\$ -	\$ -	#DIV/0!	\$	1,235	\$ 1,389	-11.1%
Ky General Fund	\$ -	\$ -	#DIV/0!	\$	1,235	\$ 1,389	-11.1%
Total Kentucky Excise Tax (1.75%)	\$ <u>-</u>	\$ 	#DIV/0!	\$	21,609	\$ 24,307	-11.1%
Net Commission	\$ -	\$ -	#DIV/0!	\$	259,982	\$ 292,889	-11.2%
Off Track Handle From:							
Other Kentucky Tracks	\$ -	\$ -	#DIV/0!	\$	61,613	\$ 85,571	-28.0%
Kentucky ADW's	\$ -	\$ -	#DIV/0!	\$	168,660	\$ 184,092	-8.4%
Other Sources	\$ -	\$ -	#DIV/0!	\$	6,331,235	\$ 6,857,758	-7.7%
(Non-Ky Tracks, OTB's, Other ADW's)							
All Sources Handle	\$ -	\$ -	#DIV/0!	\$	7,796,291	\$ 8,516,411	-8.5%
Average Daily Handle - On Track			#DIV/0!	\$	35,280	\$ 39,685	-11.1%
Average Daily Handle - All Sources			#DIV/0!	\$	222,751	\$ 243,326	-8.5%
		Simulcast					
Handle by Breed							
Thoroughbred	\$ -	\$ -	#DIV/0!	\$	-	\$ -	#DIV/0!
Standardbred	\$ -	\$ 1,810,366	-100.0%	\$	14,544,559	\$ 17,668,695	-17.7%
Qtr/Arabian	\$ 53,034	\$ 27,074	95.9%	\$	141,294	\$ 165,762	-14.8%
Total Handle	\$ 53,034	\$ 1,837,439	-97.1%	\$	14,685,852	\$ 17,834,457	-17.7%
TB Development Fund							
SB Development Fund QH Development Fund			ONLY TAXED	ON LIVE RAC	CING		
Equine Industry Program							
Equine Drug Research							
Higher Education Fund							
Ky General Fund							
Total Kentucky Excise Tax							

#### **Monthly Track Report - Turfway**

May 2020 Live Racing

			Live Racing						
	M	ay-20	 May-19	% Change	F	YTD - 2020	F	YTD - 2019	% Change
Race Dates		0	0	#DIV/0!		53		56	-5.4%
On Track Handle	\$	-	\$ -	#DIV/0!	\$	2,964,297	\$	3,352,648	-11.6%
Less: Return to the Public	\$	-	\$ -	#DIV/0!	\$	2,369,042	\$	2,668,442	-11.2%
Less: Breakage	\$	-	\$ -	#DIV/0!	\$	16,890	\$	23,936	-29.4%
Gross Commission	\$	-	\$ -	#DIV/0!	\$	578,366	\$	660,270	-12.4%
TB Development Fund	\$	-	\$ -	#DIV/0!	\$	22,232	\$	25,145	-11.6%
Equine Industry Program	\$	-	\$ -	#DIV/0!	\$	5,929	\$	6,705	-11.6%
Equine Drug Research	\$	-	\$ -	#DIV/0!	\$	2,964	\$	3,353	-11.6%
Higher Education Fund	\$	-	\$ -	#DIV/0!	\$	2,964	\$	3,353	-11.6%
Ky General Fund	\$	-	\$ -	#DIV/0!	\$	10,375	\$	11,734	-11.6%
otal Kentucky Excise Tax (1.5%)	\$		\$ 	#DIV/0!	\$	44,464	\$	50,290	-11.6%
Backside Improvement Fund	\$	-	\$ -	#DIV/0!	\$	14,821	\$	16,763	-11.6%
Net Commission	\$	-	\$ -	#DIV/0!	\$	519,080	\$	593,217	-12.5%
Off Track Handle From:									
Other Kentucky Tracks	\$	-	\$ -	#DIV/0!	\$	1,710,508	\$	1,609,571	6.3%
Kentucky ADW's	\$	-	\$ -	#DIV/0!	\$	5,473,784	\$	3,305,791	65.6%
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$	-	\$ -	#DIV/0!	\$	73,691,114	\$	66,265,164	11.2%
All Sources Handle	\$	-	\$ -	#DIV/0!	\$	83,839,703	\$	74,533,174	12.5%
Average Daily Handle - On Track				#DIV/0!	\$	55,930	\$	59,869	-6.6%
Average Daily Handle - All Sources				#DIV/0!	\$	1,581,881	\$	1,330,950	18.9%
			Simulcast						
Handle by Breed									
Thoroughbred	\$	-	\$ 2,520,250	-100.0%	\$	10,601,711	\$	17,684,132	-40.0%
Standardbred	\$	-	\$ 48,751	-100.0%	\$	262,528	\$	446,265	-41.2%
Qtr/Arabian	\$		\$ 6,835	-100.0%	\$	41,046	\$	89,390	-54.1%
Total Handle	\$		\$ 2,575,837	-100.0%	\$	10,905,284	\$	18,219,787	-40.1%
TB Development Fund	\$	-	\$ 50,405	-100.0%	\$	212,034	\$	353,683	-40.0%
SB Development Fund	\$	-	\$ 975	-100.0%	\$	5,251	\$	8,925	-41.2%
QH Development Fund	\$	-	\$ 137	-100.0%	\$	821	\$	1,788	-54.1%
Equine Industry Program*	\$	-	\$ 1,288	-100.0%	\$	5,453	\$	9,110	-40.1%
Equine Drug Research	\$	-	\$ 2,576	-100.0%	\$	10,905	\$	18,220	-40.1%
Higher Education Fund	\$	-	\$ 2,576	-100.0%	\$	10,905	\$	18,220	-40.1%
Ky General Fund	\$	-	\$ 19,319	-100.0%	\$	81,790	\$	136,648	-40.1%
Total Kentucky Excise Tax (3%)	\$		\$ 77,275	-100.0%	\$	327,159	\$	546,594	-40.1%

<sup>\*</sup> Rate is .0005 for Simulcast only

# Kentucky Resident Advance Deposit Wagering Report May, 2020

May-20		May-19	% Change		FYTD-2020		FYTD-2019		% Change
\$ 12,005,229	\$	30,715,783	-60.9%		\$	45,102,484	\$	66,653,448	-32.3%
\$ 30,716,713	\$	22,169,900	38.6%	<u>.:</u>	\$	196,711,516	\$	164,055,414	19.9%
\$ 42,721,942	\$	52,885,683	-19.2%		\$	241,813,999	\$	230,708,862	4.8%
				_					
\$ 90,784	\$	112,382	-19.2%	!	\$	513,855	\$	490,256	4.8%
\$ 90,784	\$	112,382	-19.2%	:	\$	513,855	\$	490,256	4.8%
\$ 32,041	\$	39,664	-19.2%	<u></u> :	\$	181,360	\$	173,032	4.8%
\$ 213,610	\$	264,428	-19.2%		\$	1,209,070	\$	1,153,544	4.8%
\$ \$ \$ \$ \$	\$ 12,005,229 \$ 30,716,713 \$ 42,721,942 \$ 90,784 \$ 90,784 \$ 32,041	\$ 12,005,229 \$ \$ 30,716,713 \$ \$ 42,721,942 \$ \$ 90,784 \$ \$ 90,784 \$ \$ 32,041 \$	\$ 12,005,229 \$ 30,715,783 \$ 30,716,713 \$ 22,169,900 \$ 42,721,942 \$ 52,885,683 \$ 90,784 \$ 112,382 \$ 90,784 \$ 112,382 \$ 32,041 \$ 39,664	\$ 12,005,229 \$ 30,715,783 -60.9% \$ 30,716,713 \$ 22,169,900 38.6% \$ 42,721,942 \$ 52,885,683 -19.2% \$ 90,784 \$ 112,382 -19.2% \$ 90,784 \$ 112,382 -19.2% \$ 32,041 \$ 39,664 -19.2%	\$ 12,005,229 \$ 30,715,783	\$ 12,005,229 \$ 30,715,783	\$ 12,005,229 \$ 30,715,783       -60.9%       \$ 45,102,484         \$ 30,716,713 \$ 22,169,900       38.6%       \$ 196,711,516         \$ 42,721,942 \$ 52,885,683       -19.2%       \$ 241,813,999         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855         \$ 32,041 \$ 39,664       -19.2%       \$ 181,360	\$ 12,005,229 \$ 30,715,783       -60.9%       \$ 45,102,484 \$         \$ 30,716,713 \$ 22,169,900       38.6%       \$ 196,711,516 \$         \$ 42,721,942 \$ 52,885,683       -19.2%       \$ 241,813,999 \$         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855 \$         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855 \$         \$ 32,041 \$ 39,664       -19.2%       \$ 181,360 \$	\$ 12,005,229 \$ 30,715,783       -60.9%       \$ 45,102,484 \$ 66,653,448         \$ 30,716,713 \$ 22,169,900       38.6%       \$ 196,711,516 \$ 164,055,414         \$ 42,721,942 \$ 52,885,683       -19.2%       \$ 241,813,999 \$ 230,708,862         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855 \$ 490,256         \$ 90,784 \$ 112,382       -19.2%       \$ 513,855 \$ 490,256         \$ 32,041 \$ 39,664       -19.2%       \$ 181,360 \$ 173,032



#### Kentucky Resident Advance Deposit Wagering Report for May 2020

AmWest Entertainment	% of Total	May-20	May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.00% \$	-	\$ -	#DIV/0!	0.23%	\$ 103,003	\$ 64,174	60.5%
Total Out-of State Handle	0.26% \$	78,533	\$ 45,53	34 72.5%	0.31%	\$ 613,445	\$ 423,307	44.9%
Total Handle (TH)	0.18% \$	78,533	\$ 45,53	72.5%	0.30%	\$ 716,448	\$ 487,481	47.0%
Host Track (42.5% Allocation)	\$	167	\$ 9	97 72.5%		\$ 1,522	\$ 1,036	47.0%
Purse (42.5% Allocation	\$	167	\$ 9	72.5%		\$ 1,522	\$ 1,036	47.0%
KY General Fund (15% Allocation)	\$	59	\$ 3	34 72.5%		\$ 537	\$ 366	47.0%
Total KY Excise Tax (.05% of TH)	\$	393	\$ 22	72.5%	_	\$ 3,582	\$ 2,437	47.0%
eBet	% of Total	May-20	May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.10% \$	11,927			0.09%			-59.4%
Total Out-of State Handle	0.29% \$	90,008	\$ 81,46		0.34%			-31.6%
Total Handle (TH)	0.24% \$	•	\$ 91,86		0.29%	•		-34.2%
Host Track (42.5% Allocation)	\$	217	\$ 19	95 11.0%		\$ 1,507	\$ 2,290	-34.2%
Purse (42.5% Allocation	\$	217	\$ 19	95 11.0%		\$ 1,507	\$ 2,290	-34.2%
KY General Fund (15% Allocation)	\$	76	\$	59 11.0%		\$ 532	\$ 808	-34.2%
Total KY Excise Tax (.05% of TH)	\$	510	\$ 45	11.0%		\$ 3,547	\$ 5,387	-34.2%
Horse Races Now	% of Total	May-20	May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	70 OI 10tai	IVIAY-20	IVIAY-13			\$ -	\$ 1,127	-100.0%
Total Out-of State Handle						÷ -	\$ 1,127	-100.0%
Total Handle (TH)	\$		\$ -	<u>—</u>		\$ -	\$ 4,826	-100.0%
Total Handle (TTI)	<u>_</u>		<u>ү</u> -	<del></del>		<del>,</del> -	7 4,020	=100.076
Host Track (42.5% Allocation)						\$ -	\$ 10	-100.0%
Purse (42.5% Allocation						\$ -	\$ 10	-100.0%
KY General Fund (15% Allocation)						\$ -	\$ 4	-100.0%
Total KY Excise Tax (.05% of TH)	\$	-	\$ -			\$ -	\$ 24	-100.0%

#### **Kentucky Resident Advance Deposit Wagering Report for May 2020 (Cont)**

Lien Games	% of Total	May-20		May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	0.00% \$	-	\$	-	#DIV/0!	0.01%	\$ 5,929	\$ 7,677	-22.8%
Total Out-of State Handle	0.13% \$	41,219	\$	6,021	584.6%	0.09%	\$ 183,006	\$ 129,236	41.6%
Total Handle (TH)	0.10% \$	41,219	\$	6,021	584.6%	0.08%	\$ 188,935	\$ 136,913	38.0%
Host Track (42.5% Allocation)	\$	88	\$	13	584.6%	:	\$ 401	\$ 291	38.0%
Purse (42.5% Allocation	\$	88	\$	13	584.6%	;	\$ 401	\$ 291	38.0%
KY General Fund (15% Allocation)	\$	31	\$	5	584.6%	;	\$ 142	\$ 103	38.0%
Total KY Excise Tax (.05% of TH)	\$	206	\$	30	584.6%	_	\$ 945	\$ 685	38.0%
NYRAbets	% of Total	May-20		May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	3.02% \$	362,970	Ś	207,594	74.8%	2.10%		536,463	76.8%
Total Out-of State Handle	2.64% \$	•	\$	287,498	182.2%	2.33%	•	2,584,680	77.3%
Total Handle (TH)	2.75% \$		\$	495,092	137.2%	2.29%		3,121,143	77.2%
Host Track (42.5% Allocation)	\$	2,496	\$	1,052	137.2%	;	\$ 11,751	\$ 6,632	77.2%
Purse (42.5% Allocation	\$	2,496	\$	1,052	137.2%	:	\$ 11,751	\$ 6,632	77.2%
KY General Fund (15% Allocation)	\$	881	\$	371	137.2%	:	\$ 4,147	\$ 2,341	77.2%
Total KY Excise Tax (.05% of TH)	\$	5,872	\$	2,475	137.2%	=	\$ 27,649	\$ 15,606	77.2%
TVG	% of Total	May-20		May-19	% Change	% of Total	FYTD-2020	FYTD-2019	% Change
Total In-State Handle	27.55% \$	3,307,771		7,247,179	-54.4%	27.57%		17,125,676	-27.4%
Total Out-of State Handle	39.34% \$		\$	7,270,688	66.2%	35.40%		53,964,182	29.0%
Total Handle (TH)	36.02% \$	15,390,356	\$	14,517,867	6.0%	33.94%	\$ 82,066,936	\$ 71,089,858	15.4%
Host Track (42.5% Allocation)	\$	32,705	\$	30,850	6.0%	;	\$ 174,392	\$ 151,066	15.4%
Purse (42.5% Allocation	\$	32,705	\$	30,850	6.0%	:	\$ 174,392	\$ 151,066	15.4%
KY General Fund (15% Allocation)	\$	11,543	\$	10,888	6.0%	<u></u>	\$ 61,550	\$ 53,317	15.4%
Total KY Excise Tax (.05% of TH)	\$	76,952	\$	72,589	6.0%	<u></u>	\$ 410,335	\$ 355,449	15.4%

#### Kentucky Resident Advance Deposit Wagering Report for May 2020 (Cont)

TwinSpires	% of Total	May-20	Ν	/lay-19	% Change	% of Total	FYTD-2020		FYTD-2019	% Change
Total In-State Handle	60.51% \$	7,264,293	\$ 2	21,164,025	-65.7%	58.32%	\$ 26,303,134	\$	41,677,435	-36.9%
Total Out-of State Handle	48.38% \$	14,860,349	\$ 1	12,312,890	20.7%	50.01%	\$ 98,373,033	\$	85,534,015	15.0%
Total Handle (TH)	51.79% \$	22,124,642	\$ 3	33,476,915	-33.9%	51.56%	\$ 124,676,167	\$	127,211,450	-2.0%
Host Track (42.5% Allocation)	\$	47,015	\$	71,138	-33.9%		\$ 264,937	\$	270,324	-2.0%
Purse (42.5% Allocation	\$	47,015	-	71,138	-33.9%		\$ 264,937		270,324	-2.0%
KY General Fund (15% Allocation)	\$		, \$	25,108	-33.9%		\$ 93,507		95,409	-2.0%
Total KY Excise Tax (.05% of TH)	\$	•	\$	167,385	-33.9%	_	\$ 623,381		636,057	-2.0%
Watch and Wager	% of Total	May-20	N	Лау-19	% Change	% of Total	FYTD-2020		FYTD-2019	% Change
Total In-State Handle	0.05% \$	6,403		5,593	74.5%	0.11%		ċ	37,379	37.1%
Total III-State Handle	0.03% \$	·	۶ \$	10,872	193.0%	0.11%			121,775	154.0%
Total Handle (TH)	0.10% \$		<del>۶</del> \$	16,465	132.4%	0.15%	•		159,154	126.6%
Total natitie (Tri)	<u> </u>	36,236	<u>ې</u>	10,403	132.4/0	0.13%	Ş 300,391	Ą	139,134	120.0%
Host Track (42.5% Allocation)	\$	81	\$	35	132.4%		\$ 766	\$	338	126.6%
Purse (42.5% Allocation	\$	81	\$	35	132.4%		\$ 766	\$	338	126.6%
KY General Fund (15% Allocation)	\$	29	\$	12	132.4%		\$ 270	\$	119	126.6%
Total KY Excise Tax (.05% of TH)	\$	191	\$	82	132.4%		\$ 1,803	\$	796	126.6%
Xpressbet	% of Total	May-20	N	Лау-19	% Change	% of Total	FYTD-2020		FYTD-2019	% Change
Total In-State Handle	8.76% \$	1,051,865	\$	2,080,985	-49.5%	11.56%	\$ 5,213,860	\$	7,104,434	-26.6%
Total Out-of State Handle	8.86% \$	2,720,741		2,154,935	26.3%	11.36%			20,316,144	10.0%
Total Handle (TH)	8.83% \$	3,772,606	\$	4,235,920	-10.9%	11.40%	\$ 27,565,802	\$	27,420,578	0.5%
Host Track (42.5% Allocation)	\$	8,017	\$	9,001	-10.9%		\$ 58,577	\$	58,269	0.5%
Purse (42.5% Allocation	\$	8,017	\$	9,001	-10.9%		\$ 58,577	\$	58,269	0.5%
KY General Fund (15% Allocation)	\$	2,829	\$	3,177	-10.9%		\$ 20,674	\$	20,565	0.5%
Total KY Excise Tax (.05% of TH)	\$	18,863	\$	21,180	-10.9%	_	\$ 137,829	\$	137,103	0.5%

# Ellis Park Historical Horse Racing Report for May, 2020

	May -20	May - 19	% Change	F	YTD - 2020		FYTD - 2019		% Change
Total Handle	\$ -	\$ 10,056,329	-100.0%	\$	86,961,352	\$	89,175,051		-2.5%
Less: Returned to Public	\$ -	\$ 9,205,461	-100.0%	\$	79,755,473	\$	82,112,441		-2.9%
Less: Breakage	\$ -	\$ 808		\$	7,345	\$	8,070		-9.0%
Less: Settlement	\$ 	\$ 53,770		\$	(12,882)	\$	(53,098)		-75.7%
Gross Commission	\$ -	\$ 796,289	-100.0%	\$	7,211,416	\$	7,107,639		1.5%
TB Development Fund (Note 1) SB Development Fund (Note 2)	\$ -	\$ 75,422	-100.0%	\$	652,210	\$	668,813		-2.5%
Equine Industry Program (Note 3)	\$ -	\$ -		\$	28,250	\$	45,123		-37.4%
Equine Drug Research (Note 4)	\$ -	\$ -		\$	13,908	\$	22,214		-37.4%
Higher Education Fund (Note 4)	\$ -	\$ -		\$	13,908	\$	22,214		-37.4%
Kentucky General Fund (Note 5 )	\$ 	\$ 75,422	-100.0%	\$	596,145	\$	579,261		2.9%
Total KY Excise Tax (1.5% of Total Handle)	\$ -	\$ 150,845	-100.0%	\$	1,304,420	\$	1,337,626		-2.5%
% of Gross Commission		18.9%			18.1%		18.8%		
Net Commission + Breakage	\$ -	\$ 646,252	-100.0%	\$	5,914,340	\$	5,778,082		2.4%
% of Total Handle		6.43%			6.80%		6.48%		
Number of HHR Terminals	300	179	67.6%		300		179		67.6%
Average Daily Handle	\$ -	\$ 324,398	-100.0%	\$	335,758	\$	267,793		25.4%
Handle/Day/Machine	\$ -	\$ 1,812	-100.0%	\$	1,119	\$	1,496		-25.2%
Gross Commission / Day / Machine	\$ -	\$ 144	-100.0%	\$	93	\$	119		-22.2%
	 May -20	April - 20	% Change		Since	Ince	ption (August 3:	1, 201	12)
Total Handle	\$ -	\$ -	#DIV/0!	Tota	ıl Handle			\$	516,455,169
Total Kentucky Excise Tax	\$ -	\$ -	#DIV/0!	Tota	l Kentucky Exc	ise T	ax	\$	7,746,828
Average Daily Handle	\$ -	\$ -	#DIV/0!	Tota	ıl KY General Fu	und		\$	2,954,214

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## Keeneland/Red Mile Historical Horse Racing Report for May, 2020

		May -20	May - 19	% Change	F	YTD - 2020		FYTD - 2019		% Change
Total Handle	\$	-	\$ 33,601,727	-100.0%	\$	331,259,103	\$	296,324,761		11.8%
Less: Returned to Public	\$	-	\$ 30,886,958	-100.0%	\$	303,819,792	\$	271,987,300		11.7%
Less: Breakage	\$	-	\$ 112,742		\$	700,929	\$	932,900		-24.9%
Less: Settlement	\$	-	\$ 129,038		\$	1,520,389	\$	1,476,000		3.0%
Gross Commission	\$		\$ 2,472,989	-100.0%	\$	25,217,995	\$	21,928,561		15.0%
TB Development Fund (Note 1)	\$	-	\$ 126,006	-100.0%	\$	1,242,222	\$	1,111,218		11.8%
SB Development Fund (Note 2)	\$	-	\$ 168,009	-100.0%	\$	1,656,296	\$	1,481,624		11.8%
Equine Industry Program (Note 3)	\$	-	\$ -		\$	93,004	\$	136,605		-31.9%
Equine Drug Research (Note 4)	\$	-	\$ -		\$	45,787	\$	67,251		-31.9%
Higher Education Fund (Note 4)	\$	-	\$ -		\$	45,787	\$	67,251		-31.9%
Kentucky General Fund (Note 5 )	\$		\$ 210,011	-100.0%	\$	1,885,792	\$	1,580,922		19.3%
Total KY Excise Tax (1.5% of Total Handle)	\$	-	\$ 504,026	-100.0%	\$	4,968,887	\$	4,444,871		11.8%
% of Gross Commission		#DIV/0!	20.4%			19.7%		20.3%		
Net Commission + Breakage	\$	-	\$ 2,081,704	-100.0%	\$	20,950,037	\$	18,416,590		13.8%
% of Total Handle		#DIV/0!	6.20%			6.32%		6.22%		
Number of HHR Terminals		938	902	4.0%		938		902		4.0%
Average Daily Handle	\$	-	\$ 1,083,927	-100.0%	\$	1,283,950	\$	887,200		44.7%
Handle/Day/Machine	\$	-	\$ 1,202	-100.0%	\$	1,369	\$	984		39.2%
Gross Commission / Day / Machine						104		70		43.2%
	\$	-	\$ 88	-100.0%	\$	104	\$	73		
	\$ 	- May -20	\$  88 April - 20	-100.0% _% Change	\$		•	73 tion (September	r 8, 2	
Total Handle	\$ \$	- May -20 -	\$				•		r 8, 2	
Total Handle Total Kentucky Excise Tax		- May -20 -	April - 20	% Change	Tota	Since Ir	псер	tion (September		(015)

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

#### **Kentucky Downs Historical Horse Racing Report for May, 2020**

	 May -20	May - 19	% Change		YTD - 2020		FYTD - 2019		% Change
Total Handle	\$ -	\$ 86,045,723	-100.0%	\$	762,328,217	\$	842,437,022		-9.5%
Less: Returned to Public	\$ -	\$ 79,434,026	-100.0%	\$	701,971,517	\$	776,226,924		-9.6%
Less: Breakage	\$ -	\$ 12,332		\$	153,487	\$	166,061		-7.6%
Less: Settlement	\$ -	\$ (158,040)		\$	159,999	\$	(547,427)		-129.2%
Gross Commission	\$ -	\$ 6,757,404	-100.0%	\$	60,043,214	\$	66,591,466		-9.8%
TB Development Fund (Note 1)	\$ -	\$ 645,343	-100.0%	\$	5,717,462	\$	6,318,278		-9.5%
SB Development Fund (Note 2)									
Equine Industry Program (Note 3)	\$ -	\$ -		\$	257,689	\$	415,416		-38.0%
Equine Drug Research (Note 4)	\$ -	\$ -		\$	126,862	\$	204,513		-38.0%
Higher Education Fund (Note 4)	\$ -	\$ -		\$	126,862	\$	204,513		-38.0%
Kentucky General Fund (Note 5)	\$ 	\$ 645,343	-100.0%	\$	5,206,048	\$	5,493,836		-5.2%
Total KY Excise Tax (1.5% of Total Handle)	\$ -	\$ 1,290,686	-100.0%	\$	11,434,923	\$	12,636,555		-9.5%
% of Gross Commission		19.1%			19.0%		19.0%		
Net Commission + Breakage	\$ -	\$ 5,479,051	-100.0%	\$	48,761,778	\$	54,120,971		-9.9%
% of Total Handle		6.37%			6.40%		6.42%		
Number of HHR Terminals	753	753	0.0%		753		753		0.0%
Average Daily Handle	\$ -	\$ 2,775,668	-100.0%	\$	2,943,352	\$	2,522,267		16.7%
Handle/Day/Machine	\$ -	\$ 3,686	-100.0%	\$	3,909	\$	3,350		16.7%
Gross Commission / Day / Machine	\$ -	\$ 289	-100.0%	\$	308	\$	265		16.3%
	May -20	April - 20	% Change		Since Ir	ncep	tion (Septembe	r 1, 2	2011)
Total Handle	\$ -	\$ 	#DIV/0!	Tota	al Handle		, ,	\$	4,395,433,325
Total Kentucky Excise Tax	\$ -	\$ -	#DIV/0!	Tota	al Kentucky Exci	ise T	ax	\$	65,931,500
Average Daily Handle	\$ -	\$ -	#DIV/0!		al KY General Fu			\$	25,314,664

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## **Derby City Gaming Historical Horse Racing Report for May, 2020**

		May -20	May - 19	% Change		FYTD - 2020		FYTD - 2019		% Change
Total Handle	\$	-	\$ 92,562,024	-100.0%	\$	866,815,033	\$	582,292,768		48.9%
Less: Returned to Public	\$	-	\$ 83,852,470	-100.0%	\$	787,735,371	\$	526,605,847		49.6%
Less: Breakage	\$	-	\$ -		\$	-	\$	-		#DIV/0!
Less: Settlement	\$	-	\$ 88,214		\$	301,384	\$	547,977		-45.0%
Gross Commission	\$	-	\$ 8,621,341	-100.0%	\$	78,778,278	\$	55,138,944		42.9%
TB Development Fund (Note 1) SB Development Fund (Note 2)	\$	-	\$ 694,215	-100.0%	\$	6,501,113	\$	4,367,196		48.9%
Equine Industry Program (Note 3)	\$	-	\$ -		\$	271,057	\$	52,856		412.8%
Equine Drug Research (Note 4)			\$ -		\$	133,443	\$	26,021		412.8%
Higher Education Fund (Note 4)	\$	-	\$ -		\$	133,443	\$	26,022		412.8%
Kentucky General Fund (Note 5 )	\$	-	\$ 694,215	-100.0%	\$	5,963,169	\$	4,262,297		39.9%
Total KY Excise Tax (1.5% of Total Handle)	\$	-	\$ 1,388,430	-100.0%	\$	13,002,225	\$	8,734,392		48.9%
% of Gross Commission			16.1%			16.5%		15.8%		
Net Commission + Breakage	\$	-	\$ 7,232,910	-100.0%	\$	65,776,052	\$	46,404,553		41.7%
% of Total Handle			7.81%			7.59%		7.97%		
Number of HHR Terminals		1,015	900	12.8%		1,000		900		11.1%
Average Daily Handle	\$	-	\$ 2,985,872	-100.0%	\$	3,346,776	\$	2,214,041		51.2%
Handle/Day/Machine	\$	-	\$ 3,318	-100.0%	\$	3,297	\$	2,460		34.0%
Gross Commission / Day / Machine	\$	-	\$ 309	-100.0%	\$	300	\$	233		28.6%
		May -20	April - 20	% Change		Since In	cept	ion (September	10.	2018)
Total Handle	\$	<del></del>	\$ 	#DIV/0!	Tot	al Handle		, ,	\$	1,537,110,306
Total Kentucky Excise Tax	, \$	-	\$ -	#DIV/0!		al Kentucky Exc	ise T	ax	\$	23,056,655
Average Daily Handle	\$	-	\$ -	#DIV/0!		al KY General Fu			\$	10,885,484

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## **Kentucky Historical Horse Racing Report for May, 2020**

		May -20		May - 19	% Change		FYTD - 2020		FYTD - 2019	 % Change
Total Handle	\$	-	\$	222,265,802	-100.0%	\$ 2	2,047,363,706	\$	1,810,229,602	13.1%
Less: Returned to Public	\$	-	\$	203,378,915	-100.0%	\$ :	1,873,282,154	\$	1,656,932,512	13.1%
Less: Breakage	\$	-	\$	125,883		\$	861,761	\$	1,107,031	-22.2%
Less: Settlement	\$	-	\$	112,982		\$	1,968,890	\$	1,423,451	38.3%
Gross Commission	\$	-	\$	18,648,023	-100.0%	\$	171,250,902	\$	150,766,609	13.6%
TB Development Fund (Note 1)	\$	-	\$	1,540,987	-100.0%	\$	14,113,006	\$	12,465,504	13.2%
SB Development Fund (Note 2)	\$	-	\$	168,009	-100.0%	\$	1,656,296	\$	1,481,624	11.8%
Equine Industry Program (Note 3)	\$	-	\$	-		\$	650,000	\$	650,000	0.0%
Equine Drug Research (Note 4)	\$	-	\$	-		\$	320,000	\$	320,000	0.0%
Higher Education Fund (Note 4)	\$	-	\$	-		\$	320,000	\$	320,000	0.0%
Kentucky General Fund (Note 5 )	\$	-	\$	1,624,991	-100.0%	\$	13,651,154	\$	11,916,316	14.6%
Total KY Excise Tax (1.5% of Total Handle)	\$	-	\$	3,333,987	-100.0%	\$	30,710,456	\$	27,153,444	13.1%
% of Gross Commission				17.9%			17.9%		18.0%	
Net Commission + Breakage	\$	-	\$	15,439,918	-100.0%	\$	141,402,207	\$	124,720,195	13.4%
% of Total Handle				6.95%			6.91%		6.89%	
Number of HHR Terminals	\$	3,006	\$	2,734	9.9%		3,006		2,734	9.9%
Average Daily Handle	\$	-	\$	7,169,865	-100.0%	\$	7,912,517	\$	5,728,575	38.1%
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Handle/Day/Machine	\$	-	\$	2,622	-100.0%	\$	2,632	\$	2,095	25.6%
Gross Commission / Day / Machine	\$	-	\$	220	-100.0%	\$	220	\$	175	26.2%
		May -20		April - 20	% Change			9	Since Inception	
Total Handle	\$	-	\$	-	#DIV/0!	Tot	al Handle			\$ 7,826,366,383
Total Kentucky Excise Tax	\$	-	\$	-	#DIV/0!	Tot	al Kentucky Exc	ise T	ax	\$ 117,395,496
Average Daily Handle	\$	-	\$	-	#DIV/0!	Tot	al KY General Fu	und		\$ 46,338,504

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.